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# One Step Greener: Reducing 5G and Beyond Networks' Carbon Footprint by 2-Tiering Energy Efficiency with CO<sub>2</sub> Offsetting

# Luís Carlos Gonçalves <sup>1,2,\*</sup>, Pedro Sebastião <sup>1,2</sup>, Nuno Souto <sup>1,2</sup> and Américo Correia <sup>1,2</sup>

- <sup>1</sup> Technology and Information Science Department, ISCTE-Instituto Universitário de Lisboa, Av. Forças Armadas, 1649-026 Lisboa, Portugal; pedro.sebastiao@iscte-iul.pt (P.S.); Nuno.Souto@lx.it.pt (N.S.); americo.correia@iscte-iul.pt (A.C.)
- <sup>2</sup> Radio Systems Department, Instituto de Telecomunicações, Av. Forças Armadas, 1649-026 Lisboa, Portugal
- \* Correspondence: lcbsg@iscte-iul.pt; Tel.: +351-213-130-991

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Abstract: Fifth generation (5G) and Beyond-5G (B5G) will be characterized by highly dense deployments, both on network plane and user plane. Internet of Things, massive sensor deployments and base stations will drive even more energy consumption. User behavior towards mobile service usage is witnessing a paradigm shift with heavy capacity, demanding services resulting in an increase of both screen time and data transfers, which leads to additional power consumption. Mobile network operators will face additional energetic challenges, mainly related to power consumption and network sustainability, starting right in the planning phase with concepts like energy efficiency and greenness by design coming into play. The main contribution of this work is a two-tier method to address such challenges leading to positively-offset carbon dioxide emissions related to mobile networks using a novel approach. The first tier contributes to overall power reduction and optimization based on energy efficient methods applied to 5G and B5G networks. The second tier aims to offset the remaining operational power usage by completely offsetting its carbon footprint through geosequestration. This way, we show that the objective of minimizing overall networks' carbon footprint is achievable. Conclusions are drawn and it is shown that carbon sequestration initiatives or program adherence represent a negligible cost impact on overall network cost, with the added value of greener and more environmentally friendly network operation. This can also relieve the pressure on mobile network operators in order to maximize compliance with environmentally neutral activity.

Keywords: 5G NR; energy efficiency; carbon footprint; 5G; B5G geosequestration; carbon offset

# 1. Introduction

Mobile data services will witness unprecedented usage with the advent of the fifth generation (5G) new radio (NR) and Beyond-5G (B5G) networks. A long way has been paved since the first cellular generations, with the fourth generation (4G) already witnessing a very relevant increase of traffic demand. Nevertheless, 5G will be unprecedented: despite being prepared and designed to be optimized by design, with several energy efficiency (EE) techniques combined with several methods to increase overall throughput and user quality of experience (QoE) and overall quality of service (QoS), B5G NR networks will face a multitude of challenges driven by massive data-hungry subscribers and highly performant end user devices capable of generating enormous amounts of traffic, not solely in downlink but also in uplink. 5G NR and beyond will be fully heterogenous networks (HetNets), with cellular radio access technology (RAT) but also wireless fidelity (Wi-Fi) RAT, where Internet of things (IoT) devices will also play an important role [1]. These networks will have a multitude of

different base stations, macrocells, microcells, femtocells, Wi-Fi access points (APs) and relays, making the radio access network (RAN) a very complex architecture. Additionally, a massive number of end user devices are expected to support themselves on 5G NR and beyond, end user or not; e.g., device to device (D2D) or machine to machine (M2M) communications. To support all this, another massification will take place: the deployment of additional mobile base stations, whether they are totally physical or partially virtualized on cloud environments. All of this will make 5G NR and beyond densified networks (DenseNets) [2,3].

All of the aforementioned factors drive the development of new technology, new infrastructures, new processes and network refitting in order to sustain the challenges that are ahead for cellular networks.

From another perspective, mobile services have been witnessing unprecedented growth, bringing new challenges for mobile network operators, from several perspectives:

- Capacity maximization;
- Resource efficiency maximization, particularly spectrally;
- Cost effectiveness and reduction;
- Energy consumption minimization;
- Carbon emissions' minimization.

With all the changes that have taken place, it is expected that energy consumption will rise also unprecedently in 5G and beyond networks. As such, it is of utmost importance to realize that 5G and beyond cellular networks might be on their way to becoming one of the industries that most contributes to environmental impacts through greenhouse gas emissions (GGE).

From sustainability perspectives, global warming has become a top priority on the word's agenda [4,5], and cellular systems do have their contribution, which is expected to rise in the future in the form of carbon dioxide ( $CO_2$ ) emissions. Most of the observed increase in global average temperatures is due to the observed emissions of  $CO_2$  into the atmosphere. This phenomenon is generally known GGE and occurs naturally, as Earth's radiant heat becomes trapped in the atmosphere due to existing gases and gets radiated back towards the surface, leading to overall climate changes.

Several studies and governmental initiatives exist that address  $CO_2$  emission reduction, primarily those as a direct result of the adoption of the Kyoto protocol resolutions and goals. Although those are out of scope of the current work,  $CO_2$  compensation is not, and is the approach that we address in the current work.

Carbon sequestration (CS) is a term that defines the process of capturing and long-term storage of  $CO_2$ . It is a form of geoengineering, which aims to reduce the impacts of greenhouse gas emissions by manipulating environmental processes in order to counteract those effects. Also known as carbon sequestration and storage (CSS), it focuses on the physical and chemical methods of capturing  $CO_2$  from the atmosphere and storing it in another place [6,7]. The most common CSS systems use geological storage, ocean storage or biotic sequestration. In the current article we consider a CSS method to evaluate its capacity of contributing to the reduction of the overall carbon footprint (CF) of normal mobile network operators' (MNOs') networks and activities.

Mobile cellular networks' ever-growing popularity, along with the universe of subscribers which has been increasing exponentially, (and their behavior towards data generation) has become a very relevant contributor to the overall CF [8].

Considering the user plane, the International Telecommunications Union estimated that by 2022, there would be 12.3 billion mobile-connected devices [9], exceeding the world's projected population of 8 billion by one and a half times [8], with expected further increase in traffic demand mainly driven by mobile gaming and video streaming. In this specific plane, just considering mobile traffic alone, the expected annual growth rate is 46 percent from 2017 to 2022, reaching 77.5 exabytes per month by 2022 [8].

As the numbers of base stations (BSs) and remote radio heads (RRHs) increase due to the unprecedent demand for high capacity and throughput, higher power consumption will occur, and therefore, higher CF. Digital Power Group [10], calculated in a 2013 report that 10% of worldwide electricity generation corresponded to such activities. Other estimations forecast that overall electricity consumption and CF due to such networks will represent 51% and 23%, correspondingly, by 2030 [11].As an example, when considering a 4G macrocell BS, approximately 60% of the power consumption is attributed to the power amplifiers [12], electing it as the most crucial component to consider when energy-centric mobile network design, implementation and operation is the focus.

The wireless industry needs significant improvements in the EE of BSs and other network infrastructure to compensate for the increased energy demands due to network growth [13–15]. Therefore, designing energy-efficient communication systems has become a critical issue for 5G and beyond, which promises massive deployments of smart devices served by new infrastructure elements. Especially considering 5G NR, a device will generate an estimated 2.6 times more traffic than a 4G device and this will tend to increase in B5G NR networks [8]. Concepts such as "sustainable green communications" have recently emerged and describe the common trend toward energy-efficient wireless communications systems [16].

Emerging technologies can contribute to reduced power consumption in mobile communication networks, and it is today clear that the telecommunications industry must address CO<sub>2</sub> emissions and become more sustainable. The CF concern in the development and deployment of 5G and beyond is clearly one of the most debated subjects today and deserves a closer look.

#### 2. Contribution

The main research question in this paper focuses on existing EE methods and the fact that most do not suffice for achieving carbon neutrality. As such, we address that aspect with a two-tier model, which we present in this work. This two-tier  $CO_2$  offset model is the main contribution. The 1st tier applies  $CO_2$  reduction through EE techniques, proposed in existing studies aiming to improve overall EE in 5G networks. In that regard, we review the most important contributions in that area and focus on those that maximize  $CO_2$  reduction through the overall reduction of power consumption. As mentioned, there will still be CF after applying such techniques, which we call residual CF (RCF).

The 2nd tier has a sole purpose: to offset RCF. Through the methods that will be proposed, this second layer aims to offset RCF by tightly coupling with CF reduction from the 1st tier. It is shown that it is feasible for mobile network operators to effectively offset total CO<sub>2</sub> emissions with the proposed two-tier model. Furthermore, the impacts of such an initiative, from capital and operation expenditure (CAPEX and OPEX) perspectives, are also quantified.

The 2nd tier will offset the RCF by applying a  $CO_2$  sequestration technique called biotic geosequestration. Trees will be used as carbon-based "sinks" through the process of photosynthesis. The objective is to offset, at least, the RCF produced in the system, considering both the RAN edge (where base stations represent the most energy consuming component) and also the end-user's equipment operation. In this work, we do not focus on offsetting the CF associated to mobile device manufacturing, datacenter operations and daily MNOs routines related with operational tasks. Nevertheless, all are considered and referred along the work. The focus is the RAN and the mobile device edges, especially considering power consumption associated to base stations and mobile end user devices.

#### 3. Organization

We begin our analysis in Section 4, slightly focusing on the need for EE in 5G and B5G networks. Despite being out of the scope of the current work, we believe that there is enough relevancy on the subject that justifies enumerating some of the techniques that have been developed to reduce overall energy consumption. We argue that such techniques are of utmost importance, representing a first tier of CF reduction because they contribute very relevantly to overall power consumption, and there are

methods that transversally focus on all network edges, ranging from the device/subscriber edge/plane to the network edge/plane.

Although EE is very relevant in reducing overall CF, highly dense, heterogeneous and traffic hungry 5G and beyond networks will still result in a relevant RCF after EE deployment. As such, the second tier is focused on in Section 5, introducing the most usual techniques that contribute to overall carbon sequestration and storage. Such techniques will further reduce the RCF from the first tier. Section 6 will focus on estimating the power consumption and CF of both the network and user planes, especially focusing on base stations and end user devices. Based on those estimates, Section 7 presents the method evaluated to demonstrate how CSS can be applied in 5G and B5G cellular deployments, in order to positively offset overall CF and achieve carbon neutrality. Section 8 presents the main results and Section 9 the main conclusions.

#### 4. Energy Efficiency in 5G and Beyond

Two building blocks form the basis for every sustainable system: EE and CF minimization. In this section we focus on some of the most relevant EE techniques which contribute to power consumption reduction, from our point of view.

EE is a term that defines a set of techniques or processes aiming altogether to reduce overall energy requirements. As such, EE can be defined and calculated as the ratio between the quantity of data successfully delivered within a cellular network and the total energy spent in such successful transmission. This means that a system or a component of a system has higher EE if progressively, for the same amount of successfully transmitted data, the energy consumption decreases. It can be expressed as  $E_{Ef}$ , given by:

$$E_{Ef}[b/J] = \frac{T_d^S[bps]}{T_e^S[Wh]} = \frac{T_d^S[bps]}{3600 \cdot T_e^S[J]},$$

where  $T_d^S$  represents the total successfully transmitted data and  $T_e^S$  represents the total energy spent in the successful transmission.

EE plays a crucial role on 5G networks and will be even more crucial on B5G cellular networks in future. As energy consumption of the whole B5G cellular system is expected to increase exponentially, it is of utmost importance to develop techniques that will address that question from a lifecycle perspective.

As such, such a concept must be taken into account right from the design phase on B5G deployments. Thus, it is essential to review some of the most prevalent research works on this field, properly setting the landscape around EE methods for 5G and B5G networks.

Several works have been presented focusing on this subject, reviewing the existing techniques and at the same time stating that energy savings are still far from what would be beneficial and expected [17,18]. Some of the reasons that contribute to such an inability materialize in the shape of several inefficiencies that, when considered altogether, directly impact on overall effort to reduce EE. Some of those inefficiencies are discussed in [19], along with possible and suggested improvements. However, it is also interesting to realize that several smart architectural and topological designs for 5G, which were proposed with resource sharing in mind, offer scalability and flexibility, from a network perspective, but nevertheless, are still insufficient when EE is considered [20–23].

Clearly, it can be seen that, as stated before, EE should be considered right from the start—in the network design phase. Today, it does not make sense to design a cellular network without thinking about flexibility, scalability and especially EE right from the start.

Overall system efficiency must be evaluated at a system level; i.e., both the network and the devices must be properly considered.

#### 4.1. RAN Edge and EE Methods

As previously said, this work is focused primarily in the RAN edge, where base stations are considered the most energy-hungry devices [24]. Several techniques have been proposed, mainly

focusing on selective sleep modes and coverage zooming. The former aims to selectively switch some of the BS' radio heads, in order to reduce energy consumption according to the traffic profile, which is evaluated continuously. The latter focuses on techniques that adapt coverage radius towards cells where there are BSs in sleep mode, in order to compensate and balance traffic load. Sleep modes have been thoroughly explored in several studies surveyed by [25] which show that: (i) switching radio heads on and off can incur additional energy consumption [26]; (ii) clustering BSs can be very beneficial [27,28]; (iii) considering user experience and quality of service, switching on-off optimization can be achieved, trading off between energy consumption, quality of service [29,30] and quality of experience [31]. Other dynamic operational mechanisms have been proposed using relays, site

Regarding coverage zooming, it can be seen as a complement to the above referred-to techniques. Such a technique is very similar to the concept of cell breathing, first introduced with 3G networks, mainly as a result of power control [38,39] adaptation mechanisms. It aims to adjust each cell's coverage according to traffic conditions [40] and it is computed centrally in the core network. Such a mechanism leads to several benefits that impact the overall EE of the cellular network directly, either by balancing the traffic load between congested and non-congested BSs, or by reducing end users' power consumption [41].

Several cell zooming techniques have been present and discussed, with the ultimate aim of increasing EE, focusing, namely, on: (i) centralized and de-centralized algorithms [42]; (ii) non-cooperative game theory [43]; (iii) optimal user association [44]. Most recently, the usage of distributed antennas, namely, coordinated multipoint (CMoP) and energy efficient aware continuous cell zooming strategies, has been proposed [45,46]. When considering 5G and B5G deployments, ultra-dense networks must be taken into consideration and density estimation can be used as a strategy for the overall increase of EE, as firstly presented in [47] and subsequently by [48,49]. User density has also been considered as a parameter to smartly reduce overall power consumption [50]. Data-aware mechanisms have also been focused on, relying once again on BS cooperation in order to optimize the overall cell zooming process, and thus, the EE of the whole network 25. Other mechanisms have also been proposed in [51–58].

#### 4.2. Mobile Device Edge and EE Methods

optimization and dynamic switching [32–37].

From and end-device perspective, the aim is to reduce as much as possible the power consumption of mobile devices, not only to extend battery lifetime but also in order to increase EE. Such techniques become even more important if one considers the unprecedented number of devices that will be serviced in a cellular network. One can note that today's behavior towards mobile device usage and consumption patterns has changed radically [7]. Considering, for example, the data exchange between two users which are geographically close to each other, which represents an ever-increasing reality, the possibility of having such data exchange directly between the devices in a D2D fashion can represent several enhancements in power consumption reduction. D2D can be used as a mechanism to decrease power consumption [59,60], by reducing the network hops to only one with the immediate advantage of lower latency; better quality of service and experience; and, from the core network perspective, decrease signaling and overall backbone traffic. Additionally, and probably the most obvious advantage, is that both devices will need less power to transmit the same amount of data, thereby directly increasing EE by reducing energy consumption. However, mobile devices still do not have enough battery life or computational capacity to allow them to perform effectively over long periods of time, which is a requirement for most users. Additionally, for those applications that need high communication or computation power, and low latency, performance will drop severely. As such, an interesting concept has appeared called cyber foraging [61] or computational offloading. Cyber foraging is a technique that enables end user devices to extend their computing power by offloading computation efforts or data processing to more powerful servers located in the cloud, or sometimes, as close as a single hop away. An extensive survey on cyber foraging techniques has been presented in [62], showing clearly the advantages of using such techniques for end user plane's power

consumption reduction. Recent studies denote that cyber foraging is still an immature concept from a standardization perspective and have proposed methods and models for its implementation together with protocols for cyber foraging user plane functions [63,64].

#### 4.3. Overall EE Contribution for CF Reduction

For many decades, mobile network operators focused their attention on network optimization and increasing data throughput, special coverage and reducing latency. However, in today's cellular networks, energy consumption reduction (and EE overall) has become one of the prime objectives, due to environmental and economic aspects. We have briefly covered several techniques that show that EE maximization is possible at a network level where there is a set of such techniques and mechanisms already proposed. We have also noted that not only does the network plane need attention, but the end user plane does also, especially the devices themselves. We have presented some of the main techniques that are being discussed in order to minimize power consumption on the end user plane, leading to overall network power consumption reduction.

Current studies indicate that the level of carbon emission due to communication and information technologies has reached up to 10% of overall CF, of which 2%–3.5% corresponds to mobile networks [65–67]. There is no doubt that, considering the actual trends, such values will rise exponentially with the massive requirements of 5G and B5G networks. This means that since, at a given time, EE techniques may cease contributing relevantly to reduce overall carbon emissions, an additional approach must be considered to further reduce overall network CF. It is natural, thus, that CSS techniques and offsetting become the natural second tier in order to achieve a greener cellular network operation. The next section will focus on existing techniques to capture and store CO<sub>2</sub>.

#### 5. Carbon Sequestration and Storage

CSS is a process that aims to capture atmospheric  $CO_2$  and store it over the long term, thereby reducing the overall greenhouse gas (GHG) emissions. It consists of actively removing  $CO_2$  from the atmosphere into reservoirs, which can be man-made or natural. In this work we focus on the natural ones, which can be split into several types: biotic (e.g., trees); geological (e.g., underground rock formations and structures); oceanic (e.g., underwater bolsters); and underground sinks, such as saline deposits or gas reserves [68]. Each one of these is important and their main objective is to reduce the overall GHG emissions, taking advantage of natural processes to achieve that goal.

In this work we focus on biotic or biomass CSS, as it is the only one which does not require the development of technology to fulfill its task. Interestingly enough, one of the major contradictions is developing technological methods for reducing GHG emissions without considering that those technological processes themselves contribute to generate additional GHG emissions. As such, the next sections briefly introduce the concepts.

# 5.1. Geological CSS

Geological CSS is a method for capturing and trapping  $CO_2$  in appropriate rock formations, mainly underground [69]. The capturing process is done in a gaseous form by using physical and chemical methods. Trapping is performed by underground or underwater geological formations, injected by pipelines, but mainly used on underground rock formations [70]. It is a technological process that also creates by itself additional  $CO_2$  emissions and has several drawbacks: rocks have to be carefully chosen;  $CO_2$  can leak into the ocean or surface, causing an impact on marine fauna or generating bolsters of  $CO_2$  near the surface with severe impacts on animals and plants.

#### 5.2. Ocenan CSS

Ocean carbon storage is very similar to geological CSS, but is accomplished totally underwater; namely, in the oceans. The  $CO_2$  is injected in underwater rock formations or bolsters [71]. There are several setbacks to this method, including endangering the environment itself in a harsh way. Ocean

water might suffer from acidification when  $CO_2$  is leaked from the ocean beds, reaching the atmosphere anyway. It is a process that requires advanced technological processes in order to keep pumping and keep the pressure stable, to avoid  $CO_2$  leakage [72,73].

#### 5.3. Biotic CSS

As referred to, the previous two methods present several risks and are not considered very effective, acceptable or sustainable approaches for CSS. Therefore, an alternative is needed, which does not have so many risks, does not involve the use of technology during the sequestration period and, if possible, can increase the  $CO_2$  storage over time.

This is where biotic sequestration, or tree-based sequestration becomes the chosen method. In this case  $CO_2$  is trapped through the natural process of photosynthesis [74–77]. This means that during the capture part there are no setbacks and no side effects, as it is derived from a total natural process.

Trees retrieve  $CO_2$  from the atmosphere and store it in several of their parts, including trunks, limbs, leaves and roots. This behavior constitutes a  $CO_2$  storage technique, as it is removed from the atmosphere and trapped inside the tree. Additionally, as mentioned, there is the possibility of the same tree increasing its storage capacity: as solar exposure increases, more photosynthesis will occur, and more  $CO_2$  will be extracted from the atmosphere and converted into biomass, below and above ground, in the tree itself [78–80]. Photosynthesis converts the energy from sunlight into nutrients that the tree requires and keeps, transforming  $CO_2$  and water into oxygen and glucose [81,82].

This means that the CO<sub>2</sub> storage capacity increases, but only to a certain degree. When the tree becomes older, its storage capacity ceases increasing and remains constant. Nevertheless, as it will be shown in this work, this depends on the type of tree and happens two or three decades after the tree has been planted [83–85]. Table 1 presents the breakdown in percentages of the total system CF and an estimate each for 2020 and 2025, considering a linear increase of 11 MtCO<sub>2</sub>-e per year.

Table 1. Carbon footprint	(CF)	) breakdown	per system o	component—2020.
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Component	Contribution to Overall CO <sub>2</sub> -e
Mobile Device Manufacturing (MDM)	30%
RAN sites' operation (RSO)	29%
Datacenters and data transport (DDT)	19%
Mobile device operation (MDO)	10%
RAN sites' manufacturing (RSM)	4%
MNO activities (MO)	8%
Total (CFTotal) [MtCO <sub>2</sub> -e] (for 2020/2025)	235/290

#### 6. Energy Consumption for RAN and Mobile Edges

This section focuses on CF estimation for both network edges under analysis in this work. After overviewing different proposed EE techniques for both edges, as previously referred to, even after being deployed, there still is RCF that needs to be addressed through a second tier. In order to understand how EE contributes to reducing overall power consumption on both edges, it is crucial to understand what the consumption levels are on both edges first. As mentioned, we will focus on RAN and mobile edges.

#### 6.1. RAN Edge Power Consumption

Regarding base stations, [86] showed that at full system load, the power consumption of a 5G BS can range from 6 W to 1 kW, depending whether it is a femtocell or macrocell, respectively [85,86]. The main reason for a macrocell to have higher consumption than a 5G femtocell is related to the need to cover wider areas, and thus employing higher capacity power amplifiers, which require more power than femtocells. For the latter, the majority of the power consumption comes from the baseband units [86,87]. Nevertheless, the interesting part is that these units can be disaggregated from the radio

elements and virtualized in a C-RAN environment. Just by residing in cloud environments, with the help of NFV, each can be seen as a single virtualized function that can be virtually aggregated, contributing overall to a power reduction on the femtocell side [74]. Such an approach might also be applied to gNBs in 5G NR and beyond networks, considering that NFV combined with the usage of C-RAN principles and cloud edge computing would result in minimizing such power consumption. As such, for beyond 5G networks it is expected that, with the huge massification of small cells, overall power consumption can drop simply by virtualizing part of its functionality. Such functions are not the aim of this work, but it should be noted that NFV and edge computing are two paradigms for beyond 5G NR networks that will certainly contribute to overall power consumption reduction. For the objectives of this work, we will consider the power consumption values previously referred to. According to [24], dense deployments of BSs result in excessive energy consumption levels which can rise up to 60 billion kWh per year.

#### 6.2. Mobile Edge Power Consumption

In both 5G and B5G networks, end devices can be classified under two categories: human-interaction enabled and human-interaction free. The former relates to what are known as smartphones, while the latter relates to all devices able to generate a communication flow, either unidirectional or bi-directional, without any human interaction. Sensor networks and machine-to-machine (M2M) communication fall into this category which is not within the scope of the current document, and thus will not be discussed. On the other hand, smartphones play an important role regarding energy consumption and will be taken into account in this work.

Considering the very relevant and rapid advances in technology, especially as processing capacity and hardware components become more advanced, with sometimes physically larger devices (e.g., touchscreens), energy consumption rises. On the other hand, the number of subscribers has been growing dramatically, demanding quicker access to the Internet, more bandwidth consuming services and applications often requiring near real-time operation. Both will contribute very relevantly to the rise of energy consumption, and consequently, will increase overall  $CO_2$  atmospheric levels and CF. If one considers the estimate that by 2030, there will be more that 50 million connected end user devices, the resulting global CF may become very relevant [88]. Thus, it is of utmost importance to focus on end user devices from this perspective.

Smartphones do not consume energy in a uniform way. Several of their components have different energy consumption needs: usually, during a call, the RF module is the most energy consuming component, whereas the display might become the highest consuming component if video streaming is being used. On the other hand, smartphones often have different operation methods; namely, suspended (when the application processor is idle but the communications processor remains active in background), and the idle state, which is similar to the suspended state, but the display is active and the whole graphical subsystem and processing components. In these two operation modes, the levels of energy consumption are different, with the RF module consuming the most in the suspended state and the display and graphical processor being the most energy demanding pieces in idle mode. Average power consumption figures (excluding the backlight) for three different smartphones have been focused on extensively in [89]. It was shown that when localization services are active and running on background, GPS radio is active and contributes to overall consumption, increasing it. If one considers real time for services such as cloud music streaming, several components will always be active at the same time, raising the level of energy consumption.

Therefore, it is safe to state that smartphone energy consumption profile is heavily dependent on the type of behavior of the subscriber, which implies certain working characteristics, ultimately depending on the types of applications.

Besides application dependence, energy consumption also depends on the brightness level required by the application itself and can increase power consumption 66 times more [89]. Power consumption models have been proposed for LTE and LTE-A, and it was shown that the downlink data

rate (closely related to applications and downlink streaming services) and uplink transmission power are the two most consuming factors. It was also shown that LTE RF module's power consumption varies according to operating bandwidth, up to three times more when working on 15 MHz band compared to 10 MHz [90,91].

Interestingly enough, different smartphone vendors or different brands are also factors to account for, as power requirements and consumption might differ relevantly. Even within the same vendor, different models can have different consumption values. To demonstrate such behavior, we rely on Apple's Environment Reports (AER).

Apple considers a three-year life cycle for their iPhone devices. The results from several AERs, presented on Table 2, show that, in terms of power consumption per year, the minimum value is about 3.6 kWh/year and the maximum is 10.5 kWh/year, for the iPhone SE 32GB and iPhone X 256GB, respectively, according to the 2017 AER. In terms of equivalent carbon dioxide per year, a minimum of 1.8 kgCO<sub>2</sub>-e/year and a maximum of 5.3 kgCO<sub>2</sub>-e/year corresponds to the indicated power consumption. These values are close to results from other studies, which estimate average values of 4.5 kWh/year and 2 kWh/year per smartphone [92,93]. Considering the whole smartphone's life cycle, the total equivalent carbon dioxide footprint ranges from 45 kgCO<sub>2</sub>-e/yr to 100 kgCO<sub>2</sub>-e/yr, though is typically 40 kgCO<sub>2</sub>-e/yr according to [94], which is the reference chosen in this work.

	Production [%]	Customer Use [%]	Transport [%]	Recycling 100%	Total [kg CO <sub>2</sub> -e]	Total Customer Use Life Cycle 3Y [kg CO <sub>2</sub> -e]	Total Customer Use Life Cycle per Year [kgCO <sub>2</sub> -e]	Total Customer Use per Year [kWh]
iPhone X 64GB					79	13.43	4.48	8.9
iPhone X 256GB	80	17	2		93	15.81	5.27	10.5
iPhone SE 32GB					45	5.4	1.80	3.6
Phone SE 128GB	83	12	4		53	6.36	2.12	4.2
iPhone 7 32GB				-	56	10.08	3.36	6.7
iPhone 7 128GB	78	18	3		63	11.34	3.78	7.6
iPhone 7 256GB	•				75	13.5	4.50	9.0
Iphone Plus 7 32GB				1%	67	12.06	4.02	8.0
Iphone Plus 7 128GB	78	18	3		74	13.32	4.44	8.9
Iphone Plus 7 256GB					86	15.48	5.16	10.3
iPhone SE 32GB	82	14	3	-	75	10.5	3.50	7.0
iPhone 6s Plus 32GB				-	63	11.34	3.78	7.6
iPhone 6s Plus 128GB	- 78 18	18	18 3	_	70	12.6	4.20	8.4
iPhone 6s 32GB	6s 6s 80 16				54	8.64	2.88	5.8
iPhone 6s 128GB		3		61	9.76	3.25	6.5	
iPhone 6	85	11	3	-	95	10.45	3.48	7.0
iPhone 6 Plus	81	14	4	-	110	15.4	5.13	10.3

Table 2. CF breakdown per apple smartphone.

On the other hand, another distinguishing factor regarding energy consumption depends heavily on usage patterns. Human usage habits will imply different power consumption patterns. With increasing unbounded access to data and real time data sharing between humans themselves and machines (e.g., M2M communications), energy hungry behavior will manifest itself through the usage of data and power consumption hungry applications, especially multimedia communications and real time collaborative gaming [12].

#### 6.3. Overall Network Consumption

In order to provide a notion of the amount of  $CO_2$ -e and the global CF that a mobile communications network is estimated to have by 2020, according to [95] the overall CF will be 235 MtCO<sub>2</sub>-e, and it will be 290 MtCO<sub>2</sub>-e by 2025. But, more than the value itself, it is relevant to understand the breakdown of such value. As such, there are mainly six components in a cellular network that contribute to the total amount of CO<sub>2</sub>-e produced per year: (i) mobile device manufacturing; (ii) mobile device operation; (iii) RAN sites' manufacturing and construction; (iv) RAN sites' operation; (v) MNO activities; and (vi) datacenters and data transport.

Most recently, the latest estimate of energy consumption of mobile networks circles around about 130 TWh/yr, representing a CF of 110 MtCO<sub>2</sub>-e/yr for edge RAN alone, added up by 90 MtCO<sub>2</sub>-e/yr from the mobile edge (including manufacture and use), totaling 200 MtCO<sub>2</sub>-e/yr [96]. The results from [96] confirm, in a way, the estimations from [95].

This work focuses solely on RAN and mobile computing edges. This means that from Table 1 only three components will be considered, contributing to a total of 43% of whole  $CO_2$ -e produced in one year. This value is obtained from summing the components related to RAN sites' operation (RSO) which results in 29%, plus the RAN sites' manufacturing, corresponding to 4%; and finally, mobile device operation representing 10%, as presented in Table 1.

Additionally, we assume that RAN specific RAN sites' operation and RAN sites' manufacturing can be integrated into a single variable which reflects all RAN CF. All CF calculations can be expressed through the following equations:

$$CF_{TOT} = CF_{RAN} + CF_{OTHER} + CF_{MDO} [MtCo_2e/yr]$$

where  $CF_{RAN}$  represents the carbon footprint related to the RAN edge;  $CF_{OTHER}$  represents the remaining CF related to all other components;  $CF_{MDO}$  represents the CF related to mobile device operation (see Table 1) and

$$CF_{RAN} = CF_{RSO} + CF_{RSM} [MtCo_2e/yr]$$
$$CF_{OTHER} = CF_{DDT} + CF_{MO} + CF_{MDM} [MtCo_2e/yr]$$

with  $CF_X$  representing the carbon footprint related to component X, which can be extracted from Table 1.

In our case, we have considered an edge approach, and as such, we consider the following overall CF:

$$CF_{REdge} = CF_{RAN} = CF_{RSO} + CF_{RSM} [MtCo_2e/yr]$$
  
 $CF_{MEdge} = CF_{MDO} [MtCo_2e/yr]$ 

For the considerations and assumptions of this work, the total amount of CF that must be offset and neutralized will be the sum of both edges we are focusing on

$$CF_{TEdges} = CF_{REdge} + CF_{MEdge} [MtCo_2e/yr]$$

where  $CF_{TEdges}$  represents the total carbon footprint for both RAN edge ( $CF_{REdge}$ ) and mobile edge ( $CF_{MEdge}$ ).

From what is shown, it can be seen that to meet the objective of turning both edges carbon neutral, successfully reducing and offsetting CF on both ( $CF_{Reduced_{REdoe}}$  and  $CF_{Reduced_{REdoe}}$ ), the overall

consumption and CF must be reduced by 43% of the total estimated CF ( $CF_{Total}$ ) for 2020 and 2025 (Table 1). In this case we have

 $CF_{TotalReduced} = CF_{Reduced\_REdge} + CF_{Reduced\_MEdge}$ 

$$\begin{cases} CF_{Reduced_{REdge}} = w_{REdge} \cdot CF_{Total} = 0.33 \cdot 235 = 77.55 \text{ MtoCO2e}, \text{ for 2020} \\ CF_{Reduced_{REdge}} = w_{REdge} \cdot CF_{Total} = 0.33 \cdot 290 = 94.70 \text{ MtoCO2e}, \text{ for 2025} \\ \end{cases} \\ \begin{cases} CF_{Reduced_{REdge}} = w_{MEdge} \cdot CF_{Total} = 0.10 \cdot 235 = 23.5 \text{ MtoCO2e}, \text{ for 2020} \\ CF_{Reduced_{REdge}} = w_{MEdge} \cdot CF_{Total} = 0.10 \cdot 290 = 29.0 \text{ MtoCO2e}, \text{ for 2025} \end{cases} \end{cases}$$

where  $w_{REdge}$  and  $w_{MEdge}$  represent the weight or percentage of contribution for overall CF from RAN and mobile edges, respectively.

Resuming, the total amount of CF that needs to be addressed in 2020 is a total of  $101.05 \text{ MtCO}_2$ -e/yr and 124.7 MtCO<sub>2</sub>-e/yr by 2025, corresponding roughly to 43% of the overall CF (29% + 10% + 4%) for the corresponding years.

As mentioned, the proposed model is a two tier one, meaning that EE and the carbon offset method in conjunction need to be able to reduce and offset 43% of the overall considered CF. Nevertheless, these are estimated world consumption values, in megatons, meaning that it is important to understand how the whole offsetting process works and how much biotic sequestration it takes to offset the CF of individual elements, such as a base station, a femtocell or an individual smartphone.

## 7. Carbon Sequestration Estimation

In this section we present the 2nd tier of the proposed method, which consists of  $CO_2$  sequestration and storage in order to offset the RCF after applying the 1st tier EE, previously described.

As mentioned, biotic CSS is considered in this paper. Following our previous work [7], the CSS method is the same in the form of tree planting. For simplicity of the model we do not consider climate changes; tree species, age or size; growth rates; or soil type. As such, we base our proposed methodology on the official UK program called UK Woodland Carbon Code, which is managed by the Scottish Forestry, a Scottish Governmental Agency. This agency is responsible for forestry policy, support and regulation, on behalf of the Forestry Commission in England, the Welsh Government and the Northern Ireland Forest Service [97]. Prior to April 2019 the sequestering data and characteristics were different from the ones today and have been enhanced. This presents us the opportunity to further enhance the model and contribute to the climate effort of reducing GHG emissions or achieving carbon neutrality.

Another different perspective from our previous work is that, considering the objective of the current work and in particular the existence of the first tier of EE, instead of considering collective CSS, we will adopt individual CSS. This means that, instead of assuming two different species as before for carbon offset calculations, we consider only one tree species, the *Fagus sylvatica*, commonly known as beech (BE). Nevertheless, in this section we compare it to conifer species (CON), to comprehensively clarify why BE was chosen. Starting with Figure 1 it is shown that BE has overall more capacity for CSS than a CON over a period of 5 years, as considered in [97]. Spacing and density will still remain the same, which are the two factors that maximize CSS, according to the data from [97]. A second objective of this work is to understand individually, the impact of a single BE tree over the course of a five-year lifecycle period.



Figure 1. Total CO<sub>2</sub> sequestration capacity in the first five-year period.

## Methodology and Assumptions

From the Woodland Carbon core initiative, one can extract data about estimated capacity of biotic sequestration for certain types of trees from establishment to a total of 200 years. The reporting timespan is divided into 5-year periods, with the aim of minimizing the yearly variation in growing conditions. This means that uniform growth is considered per month, for one year. In the following we will focus solely on the first 5 years. Table 3 shows the assumptions based on the information obtained from [97]. Another assumption is about the type of tree itself. We aim to extract the most  $CO_2$  out of atmosphere as possible, and in order to do that we have considered broadleaf (BL) or hardwood, a type of trees that has a high capacity of photosynthesis and, thus is able to sequester high amounts of  $CO_2$ . Additionally, this species is the one that is most fit to European regions, considering the climate [97]. Another option would be conifer, but their capacity of retaining  $CO_2$  is lower when compared with BL.

Table 3. Individual sequestration and storage (CSS) model parameters.

Parameter	Assumption	
Type of Tree	Broadleaf (BL)	
Species	Beech, (BE) Fagus sylvatica (BE)	
Considered spacing (m)	1.2	
Yeld Class	6	
Thinned or non-thinned	both	
LifeCycle in Analysis	First 5 years	
Growth Rate	Uniform during the lifecycle	
Sequestration Capability	Uniform during the lifecycle	
Sequestation Quantity [tCO <sub>2</sub> -e]	~0.4/year	

It can be seen from Figure 2 that in the first 5 years BL is able to sequester more than CON; namely, an average of 133%/year over the course of the first five years. Especially one year after establishment, one hectare of BE is able to sequester 167% more CO<sub>2</sub> than the CON. The lookup tables also consider tree thinning. In our case, we do not consider that, because according to the data, it is performed after the first 5 years, while the focus of this work is the first five years [97]. Nevertheless, the existing data also shows that the highest CSS capacity occurs while the trees are not yet mature, up to 35–40 years, and still, from a CO<sub>2</sub> sequestration capacity perspective, BL is superior to CON over that period, as shown in Figures 2–4, illustrating the thinning effect on CO<sub>2</sub> sequestration, which basically does not exist until right before a tree becomes mature, at around 25–30 years old [97]. As such, that effect is not considered in this work.



**Figure 2.** Per year sequestration capacity comparison between broadleaf (BL) and conifer species (CON) in the first 5 years.



Figure 4. Cumulative carbon standing over time—CON.

With reference to the above, a comparison can now be performed between BE and CON in the first five years, considering uniform growth rate and  $CO_2$  sequestration capacity during the first 5-year period. Table 4 presents the values that will be considered, from that assumption.

Parameter	Value
	0.4
BE sequestration capacity $[tCO_2-e]$ per year	~0.4
CON sequestration capacity [tCO <sub>2</sub> -e] per year	~0.2
Area Considered	1 nectare
Iree Spacing	1.2 m
Number of Trees per Hectare	~6700

Table 4.	Individual	CSS model	parameters.
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From Table 4 one derives the information that will become the baseline for the study from now on:

- BE will be considered solely because it is the species that can sequester most CO<sub>2</sub> not only in the first five years but also in long-term, as depicted in Figure 3;
- Each hectare has approximately 6700 trees considering a tree spacing of 1.2 m;
- All the 6700 BE trees have the ability to sequester 400,000 kgCO<sub>2</sub>-e/yr per hectare;
- One single BE tree, considering the uniformity previously referred to, can sequester approximately 60 kgCO<sub>2</sub>-e/yr.

These are the fundamental parameters and corresponding values used from this point on. The next section will discuss two types of results: understanding how many trees are needed to individually sequester the CFs of a smartphone, a femtocell and a microcell; applying the methodology to a small example 5G NR network in order to understand how both tiers will work.

# 8. Results and Discussion

As previously referred to, the focus here will be two-fold. First an analysis of the ability to offset individual components will be shown. Secondly a small network simulation will be performed in order to understand how to carbon offset the whole system, achieving carbon neutrality.

Arriving at this point it is important to understand that, as mentioned before, on average EE methods are able to reduce 10% of overall energy consumption. Therefore, we assume a 10% overall reduction of CF due to EE methods. In the third and last subsection an example of a network model is presented, where the two-tier CF reduction methodology is applied, and results and discussion are presented.

# 8.1. Individual Analysis

We have considered the typical CF of a smartphone life cycle (which is 5 years, aligning with the 5 year period of biotic sequestration that is being considered), which is very close to that we have observed on average in Apple devices that were studied, as presented in Section 6.2 [94].

Table 5 presents a summary of the relevant parameters that were considered for this part of the analysis, considering only RAN and mobile edges, as previously referred to.

Parameter	Value	
Smartphone device [95]	40 kg/0.040 ton	
MacroCell [8]	2531 kg/2.351 ton	
FemtoCell [8]	15 kg/0.015 ton	

Table 5. Average values of individual CFs.

The values for the CFs of both Femto and Macrocells were extracted from [7], assuming an uptime of 8765 h/year and a total power consumption in full load of  $P_{FEMTO} = 6$  W and  $P_{MACRO} = 1000$  W,

and considering the annual CO<sub>2</sub> mass equivalent per kWh in Europe  $\gamma_{Europe} = 288.74 \text{ g/kWh}$ . Having characterized the level of CF that each component represents, one can apply the EE and the CSS methods, knowing that

$$CF_{TotalReduced} = CF_{Reduced EE} + CF_{Reduced CSS}$$
,

where

$$\begin{cases} CF_{Reduced\_EE} = 0.10 \cdot CF_{TotalReduced} \\ CF_{Reduced\_CSS} = 0.90 \cdot CF_{TotalReduced} \end{cases}$$

which means that EE will be responsible for reducing 10% of overall CF for all components in both edges and CSS will have to try to offset the RCF which is 90% of the whole CF of each element.

Table 6 shows the amount of CF that each tier should perform.

Component	Total CF Reduction [CO <sub>2</sub> -e/yr]			
Component –	EE—Tier 1	CSS—Tier 2		
Smartphone device (Mobile)	4 kg	36 kg		
MacroCell (RAN Edge)	~253 kg	~2278 kg		
FemtoCell (RAN Edge)	1.5 kg	13.5 kg		

Table 6. CF reduction per tier.

As such, the values that CSS should reduce are the ones referring to Tier 2. Considering that a single specimen of the considered trees is able to sequester and store approximately 60 kgCO<sub>2</sub>-e/year, some initial conclusions can be drawn:

- One single BE tree can offset in one year an amount of CF equivalent to:
  - The yearly CF of four femtocells or;
  - $\bigcirc$  The yearly CF of one smartphone.
- After 5 years that single BE tree is able to offset the equivalent to approximately 300 kgCO<sub>2</sub>-e during that year, an amount equivalent to:
  - The yearly CF of 22 femtocells or;
  - The yearly CF of eight smartphones.

Figure 5 depicts how the CF sequestration and storage evolves for a single tree in the first five years. As mentioned, it has a linear and uniform increase per year. Such a CSS increase allows one single tree to offset the yearly CF from one to eight smartphones, or, equivalently, offset the yearly CF of 2–22 Femtocells. It also shows that a single BE tree is not able to neutralize the CF of a single macrocell. At its peak in year 5, the CSS of 299 kgCO<sub>2</sub>-e/year of a single BE tree represents only 13% of the whole CF that a macrocell represents. Anyway, if one were to consider partial carbon neutralization, a single tree would partially neutralize 13% of the macrocell's CF. This is related only to the 2nd tier. If one considers the two tiers, EE plus CSS, that value would increase to 23% (10% EE plus 13% CSS). At an average cost of 0.1 (0.09) /tree, which includes maintenance according to [98], offsetting 23% of a macrocell's CF would have very low and negligible CAPEX (without considering terrain costs, which we do not focus on in this work). Regarding the macrocells, the question is how many trees would it take to totally offset a macrocells' RCF and achieve carbon neutrality?



Figure 5. Cumulative carbon standing over time—CON.

If we increase the biotic RCF sequestration capacity by adding additional trees, it easy to see that to fully offset a 5G NR macrocell and achieve carbon neutrality, 40 trees would be needed, as depicted in Figure 6. As it can be seen, in order to completely compensate the CF of a macrocell right after being deployed, 40 trees would have to be planted, and carbon neutrality for that macrocell would be achieved after one year.



Figure 6. Number of trees required to archive carbon neutrality for each element.

Alternatively, those 40 trees could also be considered as the necessary amount to achieve neutrality of 175 femtocells or 66 smartphones. Most importantly, the cost to make a 5G NR macrocell carbon neutral would be around \$4.

Another interesting analysis that can be done is whether an MNO should expect to be carbon neutral from the start or plan a program. Figure 7 shows the difference. As it can be seen, if an MNO plans to achieve neutrality, for instance for 10 macrocell at year 0, the number of trees that would be needed is much higher due to their lower CSS. On the other hand, if the MNO plans to achieve neutrality in 5 years when each tree achieves a five times greater capacity for offsetting CO<sub>2</sub>, then it would require less trees to be planted on year 0 and the CAPEX would be greatly reduced. For the extreme case of deploying a 1000 macrocell network and planning to achieve neutrality for all, the difference between doing it immediately (referred as greenfield in Figure 7) or planning to achieve so in a five-year horizon represents one fifth of the cost.



Figure 7. Capital expenditure (CAPEX) difference between 1st year offsetting and a 5 year program.

As such, proper planning for carbon neutrality makes sense, especially if one considers the lifetime of the biotic elements and the fact that up to 35–40 years after initial establishment, this kind of tree continues to increase its CO<sub>2</sub> sequestration capacity.

The MNO can perform its planning from a different perspective, without considering terrain costs, maintenance and other operational aspects. It can develop a partnership with a city hall's government in order for them to plant and maintain the biotic mass within cities; existing terrains; or, e.g., alongside roads and highways. This is an example of a shared business model, which can present very interesting results, and still the MNO will be able to offset very relevantly its CF. Our model shows that, as an example, if one considers a highway of 25 km, BE planting could occur alongside the highway itself and on the central part splitting the lanes in both directions. This would represent, roughly, 75 km of BE planted at a spacing of 1.2 m, resulting in a CSS capacity of  $1.12 \text{ MtCO}_2$ -e/year or, in other words, the carbon neutrality for 540,000 smartphones. The overall investment in biotic mass would be around 15,900€.

A second approach can be indirect, through the adoption of a carbon offset program. Carbon offset programs are becoming more and more available, allowing companies to invest in biotic sequestration indirectly. This method is not only the greenest but creates revenue for people involved in it by giving them jobs. For the sake of this work, two simple examples are given from [99], where a donation program helps to create an offset program.

One example is the donation of \$100, roughly 90€, according to which the program will plant 1000 seedings which results in a capture capability of the equivalent to  $40,000 \text{ kgCO}_2$ -e/year after 1 year. Such an amount, considering the same type of tree, would be enough to achieve total neutrality for 17 5G NR macrocells, 2930 femtocells or 1110 smartphones.

A second program consists of donating \$640, roughly 576€, and the program will create a forest with 6,400 trees in order to offset the RCF. This value represents an amount of 256,000 kgCO<sub>2</sub>-e/year of carbon sequestration, which can, after five years, represent 1.28 tCO<sub>2</sub>-e/year. These 6400 trees are roughly the same number as on the Woodland Carbon Code Forestry program, referred in the previous sections, which can sequester from 400,000 kgCO<sub>2</sub>-e/year on the first year to 1.8 tCO<sub>2</sub>-e/year in the 5th year after planting. This represents the same to a hectare of 6700 BE separated 1.2 m apart. In this case the amount of sequestered CO<sub>2</sub>—for the first year—would allow in bulk to offset and achieve carbon neutrality for 176 macrocells, roughly 29,269 femtocells and 11,100 smartphones, approximately.

If an MNO considers investing a whole hectare, for a 5-year  $CO_2$  offset program starting with a  $CO_2$  sequestration capacity of 400,000 kg $CO_2$ -e/year on the first year and finishing 1.8 t $CO_2$ -e/year, the result would be as depicted in Figure 8.

An MNO that invests in a hectare for instance, to achieve carbon neutrality after five years, in the first year will be able to successfully achieve carbon neutrality for 176 of its macrocells, and in the 5th year, for 878 of its macrocells.



Figure 8. Number of edge components that can be offset per type in a 5-year CO<sub>2</sub> offset program.

As an example, a small MNO that wishes to introduce femtocells to enhance the capacity of its already EE optimized 5G NR network, can plan its femtocell deployment according to its capacity to achieve carbon neutrality, deploying roughly 29,270 in the first year, and doubling up its capacity year after year until reaching the 5th year, where a total of 146,344 femtocells would be deployed and still achieve carbon neutrality.

If we consider the life cycle of a whole cellular generation with the duration of 10 years, the same trees can still be maintained because, as mentioned previously, the trees will still be increasing their CO<sub>2</sub> sequestration capacity up until 30 years old. Figure 9 shows the increase in the number of different element types that could be supported and still be carbon neutral after 10 years. It is important to note that those three categories do not add up; i.e., on the 10th year the amount of CO<sub>2</sub> that can be sequestered is  $4.5 \text{ tCO}_2$ -e/year, which is enough to achieve carbon neutrality for each one of the represented elements independently—either macrocells, femtocells or devices—but not the sum of any of these components. If one wants to offset all three components, one would have to, in theory, consider a program with a total of  $13.5 \text{ tCO}_2$ -e/year after the 10th year, which would cost three times more; i.e., roughly 1730  $\in$ . The mixture of a different number of components will be presented in the next subsection, where a simulated scenario is considered.



Figure 9. Number of edge components that can be offset per type in a 10-year CO<sub>2</sub> offset program.

#### 8.2. 5G NR Deployment Scenario

Accelerated roll-out of 5G NR gives MNOs the opportunity to prevent escalating network energy demand, as it is expected drive to an increase in  $CO_2$  emissions due to unprecedent larger traffic volumes.

By accelerating 5G NR deployments, but especially, preparing for B5G networks, MNOs can set and meet targets from regulators regarding their total  $CO_2$  emissions. That is the main reason why this work focuses on a two-tier mode is that it allows for a first step of energy reduction through network EE and secondly  $CO_2$  emission reduction. With this in mind, in this subsection we present a very simple model of a 5G NR network and focus solely on the number of elements of both edges under analysis. As such, several assumptions are made in order to simplify the system. It is not the aim of this work to fully depict a 5G NR or future network architecture.

The first assumption is related to femtocells: we consider that less than 10 femtocells per cell is not considered a 5G NR DenseNet deployment; at least 10 femtocells should be considered per cell. The system is comprised by a logical hexagonal shaped cell with a gNB in the centre and several spread femtocells, as previously referred to. The total number of cells is seven. Figure 10 is a snapshot of the scenario, where users are considered to be mobile. This sets the baseline for users, considered to be randomly distributed along the different cells. This perspective makes sense from the strict point of view of calculating CF. The whole system is considered to have EE techniques deployed, which will bring down overall power consumption by 10%, thereby reducing equally, the overall CF by 10%.



Figure 10. Example system model.

For the sake of simplicity, we do not consider the existence of Wi-Fi access points which might also be considered in a multi-RAT 5G NR deployment.

We define  $\mathcal{B}_M$  and  $\mathcal{B}_F$  as the set of M macrocells and F femtocells within our proposed scenario, respectively. Particularly, let us define  $b \in \mathcal{B} = \mathcal{B}_M \cup \mathcal{B}_F$  the index of a generic base station and also  $u \in \mathcal{L}$ , as a generic user device belonging to the subscribers set  $\mathcal{L}$ .

Considering the power consumption of all elements described in previous sections, which are present on the RAT edge, the total CF is given by:

$$CF_{REdge} = \sum_{b \in \mathcal{B}} CF_b$$

From the same perspective, the CF on the mobile edge is given by:

$$CF_{MEdge} = \sum_{u \in \mathcal{L}} CF_u$$

The total system CF is given, therefore, by:

$$CF_{System} = CF_{REdge} + CF_{MEdge} = \sum_{b \in \mathcal{B}} CF_b + \sum_{u \in \mathcal{L}} CF_u$$

where we consider the amount of F = 20 femtocells per M = 7 macrocells. We also consider U = 14,000 subscribers in the system, performing high traffic demanding usage of their smartphone, with a mean value of 2000 subscribers per cell.

For the considered system, the CF is evaluated as depicted in Figure 11. From Figure 11, the total CF of the whole system can be obtained as

$$CF_{System} = CF_{REdge} + CF_{MEdge} = 579841kg/Co2e/Yr$$
,

and the RCF after applying EE as the 1st tier is given by

$$RCF_{System} = (1 - \eta_{EE}) \cdot RCF_{System} = 521857kg/Co2e/Yr$$

where  $\eta_{EE} = 0.1$  represents the reduction factor due to the application of EE technologies to the whole system.





The remaining CF must then be handled by the 2nd tier, which is assumed to be biotic CSS. It was previously explained that a whole hectare of around 6700 trees is able to offset  $1.8 \text{ tCO}_2$ -e/year. At this point two different scenarios may be addressed by the MNO: offset immediately all the CF of the system; or progressively reduce it, in a 5-year time span, allowing the trees to increase their capacities and reach the required value after 5 years.

To completely offset the current CF value of 521,857 kg/CO<sub>2</sub>-e/year, the whole CF consumption is 30% above the CO<sub>2</sub> offset capacity of 6700 tree in the first year. In order to completely offset that value, an additional capacity of 122,000 kg/CO<sub>2</sub>-e/year is required. This represents the need for an additional 2,333 BE trees, totaling 8700 trees, with an added price of 183 $\in$ . As such, the cost for becoming carbon neutral through biotic CSS is 786 $\in$ .

If the MNO decides to not offset completely in the first year and not invest in additional carbon sequestration capacity (more trees), for example, due to possible high terrain costs which we do not consider in the current work, it can simply wait for the overall capacity to surpass the system target CF, which will occur later-on, assuming this remains constant. In that case, in the first year, 69.5% of the whole CF of both edges is offset and the MNO can wait for trees to grow their storage capacity, as depicted in Figure 11.

As it can be seen from Figure 12, shortly after the first year has passed, the amount of CSS capacity surpasses the requirements for the system, and carbon neutrality is achieved after approximately 1.5 years with an initial CAPEX of 603€, corresponding to one hectare of 6700 BE trees. Nevertheless, it was considered that the CF of the system remained constant during the 5 years. This might be a little unrealistic, as the number of subscribers rises, and it might be necessary to deploy additional base stations.



Figure 12. Evolution of CSS capacity for the first 5 years.

In that regard, if we consider several percentage points of CF increase per year, how many years would it take the MNO to achieve carbon neutrality without any additional costs on the biotic sequestration?

As it can be seen from Figure 13, if we consider 100% as being the total carbon offset capacity, any value above that means that the scenario is over the maximum CSS capacity. For example, if one considers 10% of CF rising every year, on the first year it is 44% above maximum CSS capacity (144%), but on the second year, carbon neutrality is achieved because the maximum CSS capacity has increased due to trees increasing their CSS capacity. In this case, the system overall CF represents only 72% of the overall CSS capacity. This means that carbon neutrality has been achieved and there is still 28% carbon credit.

Even if the CF rises 50% or doubles each year, which is something hardly expected in future beyond 5G networks, the increasing sequestration capacity would still be able to compensate and carbon neutrality would be achieved on 2nd and 3rd years, respectively. Note that when we are considering an increase in CF, we assume all components to increase equally in the whole network, as presented in Table 7.

What Table 7 shows is that even if the MNO decides to double the number of cells, from 7 to 14, and doubles all components, both tiers will be able to compensate for that growth, and particularly, carbon neutrality is still achievable after the 3rd year of operation, for both edges. In this case, the CAPEX remains the same, approximately 600€, which is the initial investment in one hectare of BE separated 1.2 m apart. This cost is negligible for any MNO, without considering the terrain costs, which can play a relevant role in the whole cost structure. However, for the sake of simplicity and



demonstrating the advantages of dual tiering for achieving carbon neutrality, we do not consider terrain cost.

Figure 13. CSS demand considering system's CF increase per year.

System RCF	Carbon Neutral?	System Expansion	Investment in Biotic CSS [€]
Nominal RCF	Yes (2nd year)	Macro: 7 Femto: 140 Subscribers: 12,000	~600
10% Increase in system RCF	Yes (2nd year)	Macro: 8 Femto: 154 Subscribers: 13,200	0
30% Increase in system RCF	Yes (2nd year)	Macro: 9 Femto: 182 Subscribers: 12,000	0
50% Increase in system RCF	Yes (2nd year)	Macro: 10 Femto: 210 Subscribers: 15,600	0
100% Increase in RCF	Yes (3rd year)	Macro: 14 Femto: 280 Subscribers: 36,000	0

Table 7. System residual CF (RCF), neutrality and system expansion.

Finally, it is interesting to evaluate on the 5-year time span the maximum expansion that the network system used as a reference would be able to achieve, while still being carbon neutral. It can be seen from Figure 14 that the maximum CF yearly growth that can be supported by the existing biotic CSS is 3.5 times, still with 9% of  $CO_2$  credit. If the chosen reference system is quadrupled, the existing biotic sequestration is not enough anymore, carbon neutrality will not be achieved within the 5 years and a minimum of an additional 4% of  $CO_2$  offset is needed. This can be achieved by acquiring additional biotic capacity, or the MNO has the possibility of choosing to increment the number of years to 6, still taking advantage of the fact that the existing biotic capacity will grow for many years more, as previously explained. It is important to note that, although we have mainly focused ourselves on the first five years, for simplicity and because it is the life cycle period considered for smartphones, CSS capacity would still continue to grow for up to 30/35 years. This means that there would be room to accommodate higher values of RCF as the years advance, still maintaining carbon neutrality.



Figure 14. Maximum growth percentage still maintaining carbon neutrality.

#### 9. Conclusions

In this work we proposed a two-tier carbon neutrality method, focused on B5G networks, but that can also be used in 5G NR networks, considering the expected surge in power consumption related to those networks.

A CSS method was proposed which is very flexible; considers the usage of the most recent EE techniques and those to come; and integrates them with biotic CSS methods. It was shown that for both network edges in focus, mobile and RAN, carbon neutrality is achievable for each individual element or set of elements. Both mobile and RAN edges were considered, and the corresponding carbon footprint was calculated in order to evaluate the feasibility of offsetting it. It was shown that both edges are the most relevant in 5G and beyond 5G networks, and that achieving 100% of CO<sub>2</sub> offsetting is possible.

Furthermore, a simple system model was developed and adopted in order to present additional results and analysis about the planning process. Overall, the proposed two-tier methodology contributes further to a greener environment, with the second tier being based on proven natural processes, without any impact or side effects onto nature and ecosystems, being capable to even achieve not only carbon neutrality but also carbon credit, as shown in the considered scenarios, where it achieved values around 9% or above.

As expected, the results show that offsetting  $CO_2$  and becoming carbon neutral can be a process which is not that expensive for an MNO, but it is something that must be carefully prepared and planned upfront. For the upcoming beyond 5G networks, this analysis is primordial and of utmost importance. EE has also come a long way and additional factors may be used in B5G networks, such as the massive use of virtualization and cloud, and edge computing, which are all factors that can help to reduce the overall CF of the systems to come. As shown, it is possible to offload 521,857 kg/CO<sub>2</sub>-e/yr with just under 800 euros, which, for the depicted scenarios, represents a quick win for every mobile network operator.

It was also shown that, if an MNO does not desire to develop its own offset activities, there are carbon offsetting programs implemented by third party companies that can be supported. In that case, the cost of implementing a side program aiming to offset as much as possible the CF of MNO's operations is possible at low cost, representing, in the presented scenarios, investments under 1000 Euro per hectare of planted ground.

Future work will focus on the other edges, and on other EE techniques that can be used for lowering even more power consumption, and more complex B5G scenarios, where different RATs

can be added and IoT network layers, in order to evaluate the overall CF and neutral achievability. Additionally, we have not considered terrain costs, as they would create several degrees of uncertainty and did not contribute relevantly to demonstrate the advantage of having a two-tier system. This is also part of the future work that will further enhance the whole model.

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