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**Associative strength or gist extraction: which matters when  
DRM lists have two critical lures?**

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3 **Associative strength or gist extraction: Which matters when DRM lists**  
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5 **have two critical lures?**  
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8  
9 Helena M. Oliveira<sup>1</sup>, Pedro B. Albuquerque<sup>1</sup>, and Magda Saraiva<sup>2</sup>  
10

11 *<sup>1</sup>School of Psychology, University of Minho, Braga, Portugal*  
12

13  
14 *<sup>2</sup> CIS-IUL – Centro de Investigação e Intervenção Social, Instituto Universitário de*  
15  
16 *Lisboa (ISCTE-IUL), Lisbon, Portugal*  
17  
18

19  
20  
21 Corresponding author: Helena M. Oliveira. Address: Escola de Psicologia,  
22

23 Universidade do Minho, Campus de Gualtar, 4710-057, Braga, Portugal. Telephone:  
24

25 +351 253 604 612. Email: [holiveira@psi.uminho.pt](mailto:holiveira@psi.uminho.pt)  
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## Associative strength or gist extraction: Which matters when DRM lists have two critical lures?

The DRM paradigm is often used in the study of false memories. This paradigm typically uses lists of words associated with one critical lure. The primary objective of our study was to understand the production of false memories using the DRM paradigm when lists of words are associated with two critical lures. Three experiments were performed, and it was observed that the critical lures associated with the first set were significantly more frequently recalled than the critical lures associated with the second set. This result was verified when the words were presented in descending order of association with the critical lure (Exp. 1), when the words of the second set were presented in ascending order of association with the critical lure (Exp.2), and when all the words in the list had the same associative strength (Exp. 3). Results are explained by the *activation/monitoring* and *fuzzy-trace* theories.

Keywords: DRM; false memories; fuzzy-trace theory; activation/monitoring framework; critical lure

### Introduction

One of the most commonly used means to study false memories is the Deese-Roediger-McDermott (DRM) paradigm (Deese, 1959; Roediger & McDermott, 1995). The paradigm involves the presentation of a list of words (e.g., table, sit, legs, seat, soft, desk, arm, sofa, wood, cushion, rest, and stool), all of which are associated with a non-presented word, termed the critical lure (e.g., chair). The word presentation is followed by a free recall task or a recognition task. According to this approach, a false memory is produced when the critical lure is recalled or falsely recognised as belonging to the presented word list. According to Deese (1959), the rate of critical lure recall can reach 44%.

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3 Over the years, several studies have identified the processes and the variables  
4 involved in the production of false memories in the DRM paradigm. Deese (1959)  
5 argued that backward associative strength<sup>1</sup> (BAS) is the variable that best explains this  
6 phenomenon. However, other variables have been identified as influencing the  
7 production of false memories, such as forward associative strength<sup>2</sup> (FAS) (e.g.,  
8 Brainerd & Wright, 2005), connectivity (e.g., McEvoy, Nelson, & Komatsu, 1999), the  
9 number of presented words per list (e.g., Robinson & Roediger, 1997), and the order of  
10 presentation of words (Toglia, Neuschatz, & Goodwin, 1999).  
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20 Two explanatory theories have emerged regarding the production of false  
21 memories in the DRM paradigm: the *fuzzy-trace theory* (Brainerd & Reyna, 1998;  
22 Reyna & Brainerd, 1995) and the *activation/monitoring framework* (Meade, Watson,  
23 Balota, & Roediger, 2007; Roediger, Balota, & Watson, 2001; Roediger & McDermott,  
24 2000; Roediger, Watson, McDermott, & Gallo, 2001).  
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31 According to the fuzzy-trace theory, when the list of words is presented, two  
32 types of trace are encoded: verbatim (i.e., specific features of each word, such as word  
33 size or colour) and gist (i.e., the theme of the list of words). The recall of presented  
34 words results from the retrieval of verbatim and gist traces because the individual has  
35 cues for each presented word (verbatim trace) that are all associated with a theme (gist  
36 trace). In contrast, the gist trace is responsible for the production of false memories  
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47 <sup>1</sup> BAS: Backward associative strength is the association between the words of the list and the critical lure.  
48 It expresses the probability that the critical lure will be recalled after the presentation of each word on the  
49 list.  
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51  
52 <sup>2</sup> FAS: Forward associative strength represents the relation between the critical lure and words of the list.  
53 It expresses the probability that the words of the list will be recalled after the presentation of the critical  
54 lure.  
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3 because the critical lure is consistent with the gist trace. Although there is no verbatim  
4 trace for the critical lure (because it was not previously presented), the critical lure is  
5 recalled because it is highly associated to the presented words. In sum, verbatim traces  
6 are used to reject false memories and gist traces are important in the acceptance of  
7 critical lures (Brainerd & Reyna, 1998; 2002; 2005; Reyna & Brainerd, 1995).  
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13 According to the activation/monitoring framework, since all words are  
14 associated with a critical lure, this word is accumulatively activated by the presentation  
15 of each word in the list. This process generates a higher activation of the critical lure,  
16 and consequently, the critical lure becomes more likely to be recalled (in a free recall  
17 task) or to be falsely recognised (in a recognition task) due to its association with the  
18 other presented words. According to Roediger, Balota, and Watson (2001), the  
19 activation/monitoring framework predicts that the production of false memories can be  
20 eliminated through adequate source-monitoring mechanisms. That is, if an individual  
21 can correctly identify the source of the information activated at the time of the  
22 recall/recognition task, he/she can distinguish between words that were actually  
23 presented (list words) and words that were only activated due to the presentation of  
24 words from the list (the critical lure). If this monitoring is successful, it is possible to  
25 eliminate the production of false memories. If monitoring fails, the critical lure is more  
26 likely to be recalled or recognised.  
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44 The two theories have some points in common, since both predict the occurrence  
45 of two opponent processes: stimulation of the critical lure during the encoding phase;  
46 and its elimination during retrieval phase. According to the fuzzy-trace theory, critical  
47 lure is stimulated due to the extraction of the gist trace, whereas for the  
48 activation/monitoring framework, critical lure is activated by the presentation of the  
49 words of the list that are strongly associated with it. On the other hand, the elimination  
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3 of critical lure occurs during retrieval phase. In the case of activation/monitoring  
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5 framework, this occurs through the monitoring process (to determine the origin of  
6  
7 critical lure activation) and for fuzzy-trace theory this occurs because there is no  
8  
9 verbatim trace for critical lure (because it has never been presented). Notwithstanding  
10  
11 the similarities between the two theories, they differ in some respects. One of them is  
12  
13 the nature of the associative relations between the presented words and the critical lure.  
14  
15 The fuzzy-trace theory, argues that the recall/recognition of the critical lure is due to the  
16  
17 semantic relation existing between the presented words and the critical lure, a relation  
18  
19 that leads to the formation of the gist trace and consequently the production of the false  
20  
21 memory (Brainerd & Reyna, 2005). On the other hand, the activation/monitoring  
22  
23 framework argues that the relationship between presented words and critical lures is  
24  
25 associative (although the nature of these associations is not specified) and it is the  
26  
27 associative strength the main explanatory variable for the production of false memories  
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29 (Roediger et al., 2001).  
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32  
33 These two theories have been widely studied and tested in connection with the  
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35 production of false memories (e.g., Brainerd & Reyna, 2005; Colomel, Tessoulin,  
36  
37 Gilet, & Corson, 2016; Meade et al., 2007; Reyna, Corbin, Weldon, & Brainerd, 2016;  
38  
39 Roediger et al., 2001). Occasionally, study results can be explained by both theories  
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41 (e.g., Cann, McRae, & Katz, 2011).  
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44 Typically, studies on the production of false memories using the DRM paradigm  
45  
46 employ lists of words associated with only one critical lure (e.g., twelve words  
47  
48 associated with one critical lure - for exceptions, see Cadavid and Beato [2016] and Jou,  
49  
50 Arredondo, Li, Escamilla, and Zuniga [2016]), and the critical lure is never presented -  
51  
52 (for an exception see Dodhia & Metcalfe [1999]). In our study, we conducted three  
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54 experiments in which the primary objective was to understand the production of false  
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2 memories using DRM lists associated with two critical lures that are never presented.  
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4 That is, lists of twelve words, six associated with one critical lure (i.e., 1<sup>st</sup> set) and the  
5  
6 other six (i.e., 2<sup>nd</sup> set) associated with another critical lure (e.g., rapid, snail, softly,  
7  
8 turtle, calm, tardy - associated with *slow* - and cake, good, bitter, sugar, chocolate,  
9  
10 honey - associated with *sweet*).  
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13           Two critical lures per list would enable us to understand if both are activated and  
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15 if this activation occurs in the same degree, as postulated by the activation/monitoring  
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17 framework, or if it is the extraction of the theme of the list that explains the production  
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19 of false memories, as argued by the *fuzzy-trace theory* (since for the participants it is  
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21 only one list of words, they will extract only one theme based on the first words of the  
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23 list).  
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26           Additionally, we controlled for important variables that influence the production  
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28 of false memories, such as the number of words per list (e.g., Robinson & Roediger,  
29  
30 1997) and their associative strength with the critical lure (e.g., Deese, 1959; McEvoy et  
31  
32 al., 1999; Roediger, Watson, McDermott, & Gallo, 2001).  
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## 36 **Experiment 1**

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39 This experiment had two main objectives: (1) to replicate the DRM effect with lists of  
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41 words associated with two critical lures and (2) to understand if the proportion of  
42  
43 correct recall of the presented words varies as a function of the set in which the words  
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45 were presented.  
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## 48 **Method**

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### 50 *Participants*

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55 Forty university students volunteered for the experiment, thirty-three female (82.5%)  
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3 and seven male (17.5%), with an average age of 21.13 years ( $SD = 3.62$ ). The  
4  
5 participants did not receive monetary compensation or course credits for participating in  
6  
7 this experiment.  
8  
9

### 10 *Stimuli*

11  
12  
13 We used six lists of words selected from the Portuguese normative study developed by  
14  
15 Albuquerque (2005). Each list contained 12 words, with the first six words associated  
16  
17 with one critical lure (1<sup>st</sup> set) and the remaining six (2<sup>nd</sup> set) associated with another  
18  
19 critical lure (e.g., rapid, snail, softly, turtle, calm, tardy - associated with *slow* - and  
20  
21 cake, good, bitter, sugar, chocolate, honey - associated with *sweet*). The words in each  
22  
23 set were presented in decreasing order of forward associative strength (FAS) of  
24  
25 association with the critical lure. The presentation order of the lists and the presentation  
26  
27 of the sets were counterbalanced.  
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30 The words of each list and their respective associative strengths with the critical  
31  
32 lures are presented in Appendix 1.  
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### 35 *Design*

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38 The independent variable was the set in which words were presented (i.e., the first six  
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40 words, or 1<sup>st</sup> set, vs. the second six words, or 2<sup>nd</sup> set), using a within-subject design. The  
41  
42 dependent variables were the proportion of presented words recalled (correct recall) and  
43  
44 critical lures recalled (false recall).  
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47

### 48 *Procedure*

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50 Data were collected in small groups of five to ten participants, and the word lists were  
51  
52 presented using Microsoft PowerPoint. The participants were told they would be  
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54 presented with a set of words to which they should pay attention because they would  
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3 later be asked to recall the words. At the end of the presentation of each list, the  
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5 instruction "RECALL NOW" was presented, and the participants had 90 seconds to  
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7 write down as many words as they remembered. The procedure was repeated for each  
8  
9 list from a total of six lists. Words were presented visually using a data-show, one at a  
10  
11 time, centred and in 54-pt black Arial font, at rate of 1.5 seconds per word. The entire  
12  
13 procedure required approximately 15 minutes.  
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15

### 16 **Results**

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19 The data were analysed using SPSS v23, and an alpha level of .05 was used for all  
20  
21 inferential analyses.  
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23

24 In the analysis of the results, the recall proportion of presented words (correct  
25  
26 recall) and the recall of critical lures (false recall) were considered. The proportion of  
27  
28 correct recall was calculated by dividing the number of presented words that were  
29  
30 recalled by the total number of presented words ( $N = 36$ ). The analysis of correct recall  
31  
32 was considered separately for the words presented in each set. Concerning false  
33  
34 memories, the proportion was calculated by dividing the number of critical lures that  
35  
36 were recalled by the total number of critical lures associated with the presented lists ( $N$   
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38  $= 6$ ).  
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41 The results of Experiment 1 are presented in Figure 1.  
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46 ---- Insert Figure 1 approximately here ----  
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51 A 2 X 2 ANOVA for repeated measures (i.e., Word type: presented words vs.  
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53 critical lures and Set: 1<sup>st</sup> set vs. 2<sup>nd</sup> set) revealed a significant main effect of the word  
54  
55 type,  $F(1, 39) = 656.84$ ,  $MSE = .022$ ,  $p < .001$ ,  $\eta^2 = .94$ . That is, presented words were  
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2 significantly more frequently recalled ( $M = .73$ ;  $SD = .10$ ) than critical lures ( $M = .13$ ;  
3  $SD = .14$ ). The main effect of the set was not significant,  $F(1, 39) = 3.34$ ,  $MSE = .10$ ,  $p$   
4  $= .08$ ,  $\eta^2 = .08$ . This outcome revealed that there were no differences between the  
5 number of words more frequently recalled (presented words and critical lures) in the 1<sup>st</sup>  
6 set ( $M_{1stSet} = .44$ ;  $SD = .13$ ) and in the 2<sup>nd</sup> set ( $M_{2ndSet} = .41$ ;  $SD = .11$ ). However, a  
7 significant interaction effect was found between word type and set,  $F(1, 39) = 24.71$ ,  
8  $MSE = .008$ ,  $p < .001$ ,  $\eta^2 = .39$ . Pairwise comparisons revealed that the words presented  
9 in the 2<sup>nd</sup> set ( $M_{2ndSet} = .75$ ;  $SD = .10$ ) were significantly more frequently recalled ( $p =$   
10  $.009$ ) than the words presented in the 1<sup>st</sup> set ( $M_{1stSet} = .71$ ;  $SD = .10$ ). However, for  
11 critical lures, the result was the opposite. That is, the critical lures associated with the 1<sup>st</sup>  
12 set ( $M_{1stSet} = .18$ ;  $SD = .15$ ) were more frequently recalled ( $p < .001$ ) than the critical  
13 lures associated with the words presented in the 2<sup>nd</sup> set ( $M_{2ndSet} = .08$ ;  $SD = .13$ ).  
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28 To analyse the impact that the primacy and recency effects might have had in  
29 the recall task, we examined the serial position by quartile. An ANOVA for repeated  
30 measures revealed differences depending on the quartile of the presentation of words in  
31 the lists,  $F(3, 117) = 332.28$ ,  $MSE = .012$ ,  $p < .001$ ,  $\eta^2 = .45$ . Pairwise comparisons  
32 revealed that there were no differences between the words presented in Q1 (1<sup>st</sup> to 3<sup>rd</sup>  
33 position) and Q4 (10<sup>th</sup> to 12<sup>th</sup> position,  $p = 1.000$ ) but that both were significantly more  
34 frequently recalled than the words presented in Q2 and Q3:  $p < .05$  (i.e., primacy and  
35 recency effects). The results are presented in Figure 2.  
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## 54 **Discussion**

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3 With this experiment, we intended to replicate the DRM effect using lists associated  
4 with two critical lures. We consider that the effect was observed. The amount of false  
5 recall found was slightly lower ( $M_{1stSet} = .18$ ;  $M_{2ndSet} = .08$ ) than the values typically  
6 found in DRM studies. This finding may be observed because the presented words  
7 associated with the critical lure in each set were fewer (six words) than in other studies  
8 that used this paradigm (12 to 15 words) (e.g., Albuquerque, 2005; Roediger &  
9 McDermott, 1995). This result was similar to those found by other authors using lists  
10 with the same length. Robinson and Roediger (1997) concluded that the probability of  
11 recalling the critical lure increased as a function of the number of associates per list and  
12 the total associative strength of the lists. In that study, the probability of recalling the  
13 critical lures increased from .03 in lists with three words to .30 for lists with fifteen  
14 words. Although the study does not provide precise data for the lists with intermediate  
15 sizes, we can infer that the rates of the critical lures for lists with six words ( $M \approx .15$ )  
16 were highly similar to our results.  
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33 The results of our experiment revealed that the words presented in the 2<sup>nd</sup> set  
34 (i.e., the 7<sup>th</sup> to 12<sup>th</sup> positions) were significantly more frequently recalled than the words  
35 presented in the 1<sup>st</sup> set (1<sup>st</sup> to 6<sup>th</sup> positions). However, the critical lures associated with  
36 the 1<sup>st</sup> set were more often falsely recalled than the critical lures associated with the 2<sup>nd</sup>  
37 set. This result can be related to the occurrence of primacy and recency effects. In our  
38 experiment, the participants were better at recalling the first and last words of the lists.  
39 Regarding the 1<sup>st</sup> set, primacy corresponds to those words that are more strongly  
40 associated with the respective critical lure. Participants also accurately recalled the last  
41 words of the lists. However, in this case, the words were weakly associated with the  
42 critical lure of the 2<sup>nd</sup> set, which may explain the differences in false memory  
43 production between the two sets.  
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3           Although the associative strength of the two sets was identical, the fact that the  
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5 participants were better at recalling the first and the last words of the lists makes a  
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7 difference in the associative strength of the recalled words associated with each set. In  
8  
9 this sense, the critical lure of the 1<sup>st</sup> set was recalled significantly more because the  
10  
11 words that were most strongly associated with it were the most recalled (primacy  
12  
13 effect). According to the activation/monitoring framework, the recall of words strongly  
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15 associated with the critical lure increases the critical lure's activation, which results in  
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17 more frequent recall of those words, i.e., a higher production of false memories. In  
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19 addition, the words most strongly associated with the critical lure of the 2<sup>nd</sup> set  
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21 corresponded to the words in the middle of the list. That is, the words with more  
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23 associative strength were not the most recalled, which results in a lower activation of  
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25 the critical lure and consequently their less frequent recall. However, according to this  
26  
27 theory, since recall occurs immediately after the list is presented, it is easier to monitor  
28  
29 the source of the critical lure. That is, the participant is more likely to identify the  
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31 critical lure as not belonging to the list of words presented in the 2<sup>nd</sup> set, which results in  
32  
33 a decrease in the production of false memories compared to those produced for the 1<sup>st</sup>  
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35 set.  
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39           However, our results can also be explained by the fuzzy-trace theory. According  
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41 to this theory, gist traces are more stable than verbatim traces since the latter are more  
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43 susceptible to interference (Brainerd, Gomes, & Nakamura, 2015). Therefore,  
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45 differences in the gist trace between the two sets of words (i.e., at the level of the  
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47 production of false memories) are not expected. The same does not occur for the  
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49 verbatim traces that (because they suffer more interference) make the participants less  
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51 able to use them to remember the words presented in the 1<sup>st</sup> set (because of interference  
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53 from the words presented in the 2<sup>nd</sup> set). This phenomenon also makes the participants  
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3 less able to use the verbatim traces of the words from the 1<sup>st</sup> set to identify and  
4  
5 consequently reject the critical lure. It is more difficult to reject the critical lure of the 1<sup>st</sup>  
6  
7 set (than of the 2<sup>nd</sup> set) due to the weakening of the verbatim traces (Brainerd, Gomes,  
8  
9 & Nakamura, 2015). Additionally, the fuzzy-trace theory also predicts that monitoring  
10  
11 has an important role in the rejection of critical lure, i.e., as the recall is immediate after  
12  
13 the presentation of the 2<sup>nd</sup> set, the participant identifies the critical lure (gist trace) and  
14  
15 reject it (Brainerd, Reyna, Wright, & Mojardin, 2003; Reyna, 2000).  
16

17  
18         According to previous studies (McEvoy et al., 1999, Robinson & Roediger,  
19  
20 1997; Roediger, Watson, et al., 2001), there is a negative correlation between the  
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22 number of presented words that are recalled and false recall. That is, the correct recall of  
23  
24 presented words makes it easier to reject the critical lure, thereby decreasing the  
25  
26 production of false memories (Brainerd et al., 2003; Reyna, 2000). In our results, this  
27  
28 phenomenon occurred for the 2<sup>nd</sup> set, with a greater proportion of the presented words  
29  
30 being recalled and a smaller recall of critical lures than for the 1<sup>st</sup> set.  
31  
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33  
34         Considering these results, in Experiment 2, we aimed to analyse if the  
35  
36 differences in the production of false memories are due to the primacy and recency  
37  
38 effects.  
39

## 40 **Experiment 2**

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42  
43 This experiment aimed to test the hypothesis that the greater recall of critical lures  
44  
45 associated with the 1<sup>st</sup> set, when compared to the critical lures recalled in the 2<sup>nd</sup> set,  
46  
47 may be due to primacy and recency effects. We hypothesise that the words of the 1<sup>st</sup> set  
48  
49 that were more frequently recalled were the primacy words, which were strongly  
50  
51 associated with the critical lure, and that they consequently produce higher levels of  
52  
53 false memories. Conversely, the words of the 2<sup>nd</sup> set that were more frequently recalled  
54  
55 were the recency words, which were weakly associated with the critical lure.  
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3 Consequently, they produced lower levels of false memories.  
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5 In Experiment 2, the words of the 2<sup>nd</sup> set were presented in ascending order of  
6  
7 association with the critical lure. That is, the last words of the 2<sup>nd</sup> set had an associative  
8  
9 strength with the critical lure similar to the first words of the 1<sup>st</sup> set. In this way, we  
10  
11 ensured that the words most frequently recalled for each set of the lists were equivalent  
12  
13 with respect to associative strength with their respective critical lures.  
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15

## 16 ***Method***

### 17 *Participants*

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19  
20 Forty university students volunteered for this experiment, all of whom were different  
21  
22 from the students who participated in Experiment 1. In this sample, thirty-two  
23  
24 participants were female (80%), and eight were male (20%), with an average age of  
25  
26 22.90 years ( $SD = 7.73$ ). The participants did not receive monetary compensation or  
27  
28 course credits for participating in the experiment.  
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### 33 *Stimuli*

34  
35 The same six lists used in Experiment 1 were used in Experiment 2. However, the  
36  
37 words of the 2<sup>nd</sup> set were presented in ascending order of association with the critical  
38  
39 lure (see Appendix 2).  
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### 45 *Design*

46  
47 As in Experiment 1, the manipulated independent variable was the set in which the  
48  
49 words were presented (1<sup>st</sup> set or 2<sup>nd</sup> set), and the dependent variables were the  
50  
51 proportion of presented words that was recalled (correct recall) and the proportion of  
52  
53 critical lures that was recalled (false recall). The independent variable was manipulated  
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3 within participant.  
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6 *Procedure*  
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9 The procedure was similar to that used in Experiment 1.  
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12 **Results**  
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14  
15 The data were analysed using SPSS v23, and an alpha level of .05 was used for all  
16  
17 inferential analyses.  
18

19 A 2 X 2 ANOVA for repeated measures (Word type: presented words vs. critical  
20 lures; Set: 1<sup>st</sup> set vs. 2<sup>nd</sup> set) revealed a significant main effect of the word type,  $F(1, 39) = 725.67$ ,  $MSE = .20$ ,  $p < .001$ ,  $\eta^2 = .95$ . That is, presented words were significantly  
21  
22 more frequently recalled ( $M = .71$ ;  $SD = .12$ ) than critical lures ( $M = .11$ ;  $SD = .12$ ). A  
23  
24 significant main effect of the set was also found,  $F(1, 39) = 8.65$ ,  $MSE = .010$ ,  $p = .005$ ,  
25  
26  $\eta^2 = .18$ . That is, significantly more words of the 1<sup>st</sup> set were recalled ( $M_{1stSet} = .43$ ;  $SD$   
27  
28  $= .12$ ) than of the 2<sup>nd</sup> set ( $M_{2ndSet} = .39$ ;  $SD = .11$ ). Finally, a significant interaction  
29  
30 effect was found between word type and set,  $F(1, 39) = 24.71$ ,  $MSE = .007$ ,  $p < .001$ ,  $\eta^2$   
31  
32  $= .39$ . Pairwise comparisons revealed no differences ( $p = .88$ ) between the number of  
33  
34 presented words recalled in the 1<sup>st</sup> set ( $M_{1stSet} = .71$ ;  $SD = .11$ ) and in the 2<sup>nd</sup> set ( $M_{2ndSet}$   
35  
36  $= .71$ ;  $SD = .13$ ). However, similarly to Experiment 1, the critical lures associated with  
37  
38 the 1<sup>st</sup> set ( $M_{1stSet} = .15$ ;  $SD = .14$ ) were more frequently recalled ( $p < .001$ ) than the  
39  
40 critical lures associated with the 2<sup>nd</sup> set ( $M_{2ndSet} = .06$ ;  $SD = .10$ ).  
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47 The results are presented in Figure 3.  
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53 ---- Insert figure 3 approximately here ----  
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3 To understand the influence of primacy and recency effects on correct recall, we  
4 examined the serial position by quartile. An ANOVA for repeated measures revealed a  
5 main effect of the position of the words in the list,  $F(3, 117) = 31.02$ ,  $MSE = .015$ ,  $p <$   
6  $.001$ ,  $\eta^2 = .44$ . Pairwise comparisons revealed that the words presented in Q1 (the 1<sup>st</sup> to  
7 3<sup>rd</sup> positions) and Q4 (the 10<sup>th</sup> to 12<sup>th</sup> positions) were better recalled than the words  
8 presented in the intermediate positions (Q2 and Q3,  $p < .001$ ). However, we found no  
9 difference between the number of words recalled in Q1 and Q4 ( $p = 1.000$ ) (Figure 4).  
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## 24 ***Discussion***

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27 In this experiment, we expected that there would be no difference in the recall of critical  
28 lures. Since the most recalled words were the words more strongly associated with the  
29 critical lures (primacy and recency effect), the activation of the critical lures should  
30 occur at the same level in both sets. However, similarly to what occurred in Experiment  
31 1, the critical lures related to the 1<sup>st</sup> set were more frequently recalled than those of the  
32 2<sup>nd</sup> set. That is, changing the presentation order of the words of the 2<sup>nd</sup> set depending on  
33 the degree of association with the critical lure favoured their recall due to the recency  
34 effect. Our results resemble those of Prohaska, Delvalle, Toglia, and Pittman (2016),  
35 who manipulated the order of words in their lists, successively changing the position of  
36 words most strongly associated with the critical lure. Their results revealed that this  
37 manipulation had no effect on false memory production.  
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51 Thus, this manipulation does not explain the difference in the production of false  
52 memories between the two sets. In our view, the fact that the participants first viewed a  
53 word more strongly associated with the critical lure (associated with the 1<sup>st</sup> set)  
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1  
2 followed by words with smaller degrees of association may potentiate the recall of the  
3 critical lure insofar as it can promote the extraction of the theme of the list (e.g.,  
4 Albuquerque & Resende, 2011; Brainerd et al., 2001; Carneiro & Fernandez, 2013).  
5  
6 One way to clarify this phenomenon would involve the use of lists in which all of the  
7 words had the same associative strength with the critical lure. Therefore, we conducted  
8 Experiment 3.  
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### 20 **Experiment 3**

21  
22 Associative strength, particularly backward associative strength (BAS), is a strong  
23 predictor of critical lure recall. The aim of this experiment was to characterise the  
24 production of false memories when all the words of a list have the same BAS with  
25 respect to their critical lure. In this sense, the order of the presentation of words  
26 (ascending or descending) was no longer a relevant variable.  
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33 As in Experiment 2, we intended to test the hypothesis that the difference in  
34 critical lure recall rates was due to the primacy and recency effects. In the previous  
35 experiments, this difference resulted in a greater recall of the critical lure associated  
36 with the 1<sup>st</sup> set. If this hypothesis were confirmed, it would be expected that there would  
37 be no differences between the halves of the lists because there was no possibility of the  
38 words most strongly associated with a critical lure being better remembered.  
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### 47 ***Method***

#### 48 *Participants*

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51 Forty college students volunteered for this experiment. In this sample, thirty three  
52 participants were female (82.5%), and seven were male (17.5%), with an average age of  
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3 21.13 years ( $SD = 3.62$ ). The participants did not receive monetary compensation or  
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5 course credits for participating in the experiment. None of the participants participated  
6  
7 in Experiments 1 or 2.  
8  
9

### 10 *Stimuli*

11  
12 We constructed 12 word lists using the same BAS per word and consequently per list.  
13  
14 The construction and presentation of the lists resembled those of the previous  
15  
16 experiments.  
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19  
20 The words of each list and the respective associative strengths with the critical  
21  
22 lures are presented in Appendix 3.  
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24

### 25 *Design*

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28 The manipulated independent variable was the set of the list in which the words were  
29  
30 presented (1<sup>st</sup> set or 2<sup>nd</sup> set). The variable was manipulated within subjects. The  
31  
32 proportion of presented words (correct recall) and critical lures (false recall) that were  
33  
34 recalled were the dependent variables.  
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### 37 *Procedure*

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40 The procedure was the same as that used in Experiments 1 and 2.  
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### 44 *Results*

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47 A 2 X 2 ANOVA for repeated measures (Word type: presented words vs. critical lures;  
48  
49 Set: 1<sup>st</sup> set vs. 2<sup>nd</sup> set) revealed a significant main effect of the word type,  $F(1, 39) =$   
50  
51  $495.91$ ,  $MSE = .024$ ,  $p < .001$ ,  $\eta^2 = .93$ . That is, presented words were significantly  
52  
53 more frequently recalled ( $M = .71$ ;  $SD = .11$ ) than critical lures ( $M = .17$ ;  $SD = .17$ ). A  
54  
55 significant main effect of the set was also found,  $F(1, 39) = 6.36$ ,  $MSE = .020$ ,  $p = .02$ ,  
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3  $\eta^2 = .14$ . That is, the participants significantly recalled more words in the 1<sup>st</sup> set ( $M_{1stSet}$   
4 = .47;  $SD = .16$ ) than in the 2<sup>nd</sup> set ( $M_{2ndSet} = .41$ ;  $SD = .12$ ). The interaction effect  
5 between word type and set was only marginally significant,  $F(1, 39) = 3.86$ ,  $MSE =$   
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$.023$ ,  $p = .06$ ,  $\eta^2 = .09$ . However, pairwise comparisons revealed that although there were no differences ( $p = .69$ ) between the recall rate of words presented in the 1<sup>st</sup> set ( $M_{1stSet} = .72$ ;  $SD = .12$ ) and in the 2<sup>nd</sup> set ( $M_{2ndSet} = .71$ ;  $SD = .11$ ) the critical lures associated with the 1<sup>st</sup> set ( $M_{1stSet} = .22$ ;  $SD = .21$ ) were significantly more frequently recalled ( $p = .01$ ) than the critical lures associated with the 2<sup>nd</sup> set ( $M_{2ndSet} = .11$ ;  $SD = .13$ ) (Figure 5).

---- Insert figure 5 approximately here ----

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An ANOVA based on the serial position of the words (Q1 vs. Q2 vs. Q3 vs. Q4) enabled us to again establish the effects of primacy and recency: there was a main effect of the quartile,  $F(3, 117) = 9.18$ ,  $MSE = .019$ ,  $p < .001$ ,  $\eta^2 = .19$ . Pairwise comparisons revealed that the words presented in Q1 (the 1<sup>st</sup> to 3<sup>rd</sup> positions) were more frequently recalled than the words presented in the intermediate positions (Q2 and Q3,  $p < .05$ ). The words recalled in Q4 (the 10<sup>th</sup> to 12<sup>th</sup> positions) were more frequently recalled than in Q3 ( $p = .001$ ). However, there were no significant differences between Q4 and Q2 ( $p = .06$ ). Finally, there was no difference between the number of words recalled in Q1 and Q4 ( $p = 1.000$ ) (Figure 6).

---- Insert figure 6 approximately here ----

## ***Discussion***

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3 The results of this experiment confirm the results of Experiment 2. There were no  
4  
5 differences in the recall of presented words as a function of set. Again, the proportion of  
6  
7 false recall associated with the words presented in the 1<sup>st</sup> set was significantly higher  
8  
9 than that of the 2<sup>nd</sup> set. In the 1<sup>st</sup> set, the proportion of false recall for the critical lure  
10  
11 was nearly double that of the 2<sup>nd</sup> set. Based on these results, we reject the hypothesis  
12  
13 that the difference in the production of false memories is due to the primacy and  
14  
15 recency effects because all words in the list had the same degree of association with the  
16  
17 respective critical lure. According to the fuzzy-trace theory, at the time of presentation  
18  
19 of the lists, participants extract the list theme, which may result in a subsequent recall of  
20  
21 the critical lure (Brainerd & Reyna, 1998, 2005; Reyna & Brainerd, 1995). It seems that  
22  
23 the extracted theme corresponds to the words presented in the 1<sup>st</sup> set. This hypothesis is  
24  
25 consistent with the results of Resende and Albuquerque (2011), who demonstrated that  
26  
27 the identification of the theme of the list occurs after the presentation of the first words  
28  
29 of the list. As argued by Brainerd et al. (2015), participants are less able to use verbatim  
30  
31 traces of the 1<sup>st</sup> set (due to the interference caused by the presentation of the words in  
32  
33 the 2<sup>nd</sup> set) to identify and reject the critical lure. However, according to the  
34  
35 activation/monitoring framework, one would not expect any difference between sets  
36  
37 because the BAS was similar in the two sets (e.g., Gallo & Roediger, 2002; Roediger,  
38  
39 Balota, & Watson, 2001; Roediger & McDermott, 1995, 2000; Roediger, Watson, et al,  
40  
41 2001).

## 42 43 44 45 46 47 **General Discussion**

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50 The primary purpose of this study was to understand the production of false memories  
51  
52 using the DRM paradigm when word lists are associated with two critical lures. The  
53  
54 results of our experiments verify that with only six associated words the DRM effect is  
55  
56 replicated. Most interesting was the fact that the critical lures related to the words  
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3 presented in the 1<sup>st</sup> sets were more frequently recalled than those associated with the  
4  
5 words presented in the 2<sup>nd</sup> sets. In trying to understand this result, it is important to note  
6  
7 that both the activation/monitoring framework and the fuzzy-trace theory consider that  
8  
9 false memories in the DRM paradigm could be due to activation and monitoring  
10  
11 mechanisms. Therefore, the higher rates of false recall found for the 1<sup>st</sup> sets could be  
12  
13 explained by a higher level of activation of the critical lures associated with the  
14  
15 presented words in this half of the list or with higher difficulty in monitoring them.  
16  
17

18         Considering the activation mechanisms, the higher rate of false recall for the  
19  
20 critical lures associated with the presented words in the 1<sup>st</sup> set could be explained by a  
21  
22 higher level of activation of these critical lures, both in coding and recall processes.  
23  
24 Therefore, we determined to control for the BAS because this variable has been  
25  
26 identified as the best predictor for the production of false memories (e.g., Gallo &  
27  
28 Roediger, 2002; Roediger, Balota, & Watson, 2001; Roediger & McDermott, 1995,  
29  
30 2000; Roediger, Watson, et al., 2001). Because the results of Experiment 3 revealed no  
31  
32 differences in the levels of correct recall for both sets of the lists, one could not predict  
33  
34 any superiority of one set over the other. According to the activation/monitoring  
35  
36 framework, the recall of the critical lure occurs because at the time of recall it is  
37  
38 strongly activated (because of its association with the presented words) and because of a  
39  
40 monitoring failure. This recall should occur indiscriminately for the two critical lures in  
41  
42 each set. However, our results reveal that the critical lures of the 1<sup>st</sup> set were  
43  
44 significantly more frequently recalled. These results can be explained by the fact that  
45  
46 the recall occurs immediately after the presentation of the lists. That is, the critical lure  
47  
48 of the 2<sup>nd</sup> set can be more easily monitored and rejected by the participants than the  
49  
50 critical lure of the 1<sup>st</sup> set. This result contradicts the result of the study of Dodhia and  
51  
52 Metcalfe (1999). In their study, two DRM lists were presented to the participants. List 1  
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3 consisted of a set of words associated with a critical lure. The critical lure associated  
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5 with List 1 was presented in List 2 composed by words not associated with this critical  
6  
7 lure (experimental group). In control group, in List 2, nothing related to the List 1 was  
8  
9 presented (this group is comparable to our study, because the critical lure is never  
10  
11 presented). After the presentation of the lists, participants performed yes/no recognition  
12  
13 task or a source judgement task. The results revealed, for the control group, equal  
14  
15 likelihood of endorsing the critical lure as presented in the List 1 or in the List 2, which  
16  
17 suggests equivalent activation of critical lures from two different lists shown in  
18  
19 sequence. However, the authors used a recognition task while in our study the  
20  
21 participants were subject to a recall task, which may explain the differences in the  
22  
23 results of the two studies, since the processes of source monitoring underlying recall  
24  
25 tasks and recognition tasks may be different.  
26  
27

28  
29         However, our results can also be explained using the fuzzy-trace theory.  
30  
31 According this theory, at the time of the presentation of the words, the theme of the list  
32  
33 is extracted (i.e., gist trace), which may result in its subsequent recall (Brainerd &  
34  
35 Reyna, 1998, 2005; Reyna & Brainerd, 1995). Participants extracted the theme of the  
36  
37 list that corresponded to the critical lure associated with the words presented in the 1<sup>st</sup>  
38  
39 sets. (Although each word list had two critical lures, for the participants, it is only one  
40  
41 list. Therefore, after the presentation of the first words, a single theme is extracted). At  
42  
43 the time of retrieval, the critical lure is recalled because it is associated with the gist  
44  
45 trace, which does not occur with the critical lure of the second half of the list. This  
46  
47 result is supported by Resende and Albuquerque (2011), who demonstrated that the  
48  
49 identification of the theme of the lists tends to occur during the presentation of the first  
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51 words of the lists.  
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3           The results of our study, may be due to potential advantages in monitoring of the  
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5 critical lures of the 2<sup>nd</sup> set. As recall began immediately after presentation of lists, it is  
6  
7 possible that participants could more effectively monitor the critical lure of this set. This  
8  
9 monitoring advantage is often associated with activation/monitoring framework,  
10  
11 however it can also be applied to fuzzy-trace theory, once which does not exclude the  
12  
13 possibility of monitoring having an important role in the rejection of the critical lure  
14  
15 (i.e., identify to reject). Consequently, to understand the results that were found, it  
16  
17 seems necessary to consider the contributions of both the activation/monitoring  
18  
19 framework and the fuzzy-trace theory (see Oliveira & Albuquerque, 2015). Thus, there  
20  
21 is a need to adopt a theoretical approach that integrates the perspectives of the two  
22  
23 theories if a more complete explanation of the production of false memories using the  
24  
25 DRM paradigm is sought.  
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35  
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**Supplementary Material**

Appendix 1 – Word lists used in Experiment 1, with the associative strength for each word and the total forward associative strength (FAS), in Portuguese and in English

Appendix 2 – Word lists used in Experiment 2, with the associative strength for each word and the total forward associative strength (FAS), in Portuguese and in English

Appendix 3 – Word lists used in Experiment 3, with the associative strength for each word and the total backward associative strength, in Portuguese and in English

This Supplementary Material is available at: [qjep.sagepub.com](http://qjep.sagepub.com)

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Figure Captions

Figure 1 – Proportion of correct and false recall in Experiment 1

Figure 2 – Serial Position Curve in Experiment 1

Figure 3 – Proportion of correct and false recall in Experiment 2

Figure 4 – Serial Position Curve in Experiment 2

Figure 5 – Proportion of correct and false recall in Experiment 3

Figure 6 – Serial Position Curve in Experiment 3

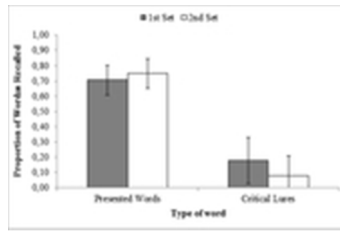


Figure 1. Proportion of correct and false recall in Experiment 1

14x9mm (300 x 300 DPI)



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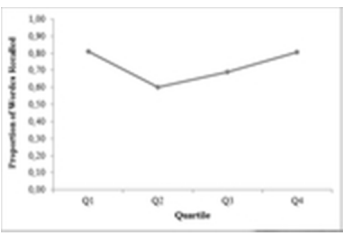


Figure 2 – Serial Position Curve in Experiment 1

14x9mm (300 x 300 DPI)

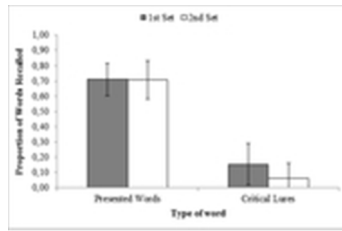


Figure 3 – Proportion of correct and false recall in Experiment 2

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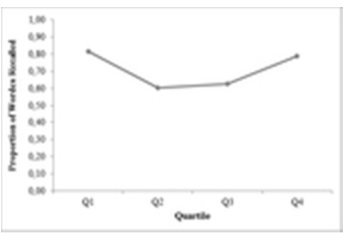


Figure 4 – Serial Position Curve in Experiment 2  
14x9mm (300 x 300 DPI)

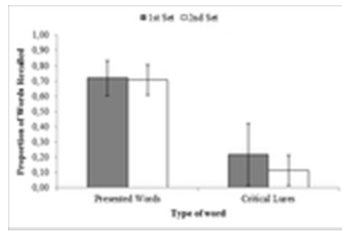


Figure 5 – Proportion of correct and false recall in Experiment 3

14x9mm (300 x 300 DPI)

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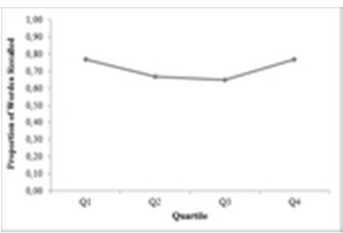


Figure 6 – Serial Position Curve in Experiment 3  
14x9mm (300 x 300 DPI)