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Productivity Gains of DevOps Adoption in an IT Team: A Case Study

Abstract

The main purpose of this research is to determine the productivity gains from the merge of two traditional IT teams: Development and Operations into a single DevOps team implementing 6 DevOps capabilities

On this research the authors go through the existing DevOps literature to better frame this research and the reader. To answer the formulated research questions the authors analyzed the team capacity divided by tasks before and after a DevOps transition and interviewed 5 senior team members to collect their opinion about this transition. The main object objective is to analyze if there were productivity gains of that team after making the transition to a DevOps approach.

Keywords: DevOps, Agile, Productivity, Continuous Delivery, Continuous Integration

1. Introduction

Many organizations which develop and use Information Systems make a structural division of their software departments. One pattern which is often repeated is the separation between software development and system operations [5]. Historically there is a gap of collaboration between development (Dev) and operations (Ops). Development team members often have an attitude where change is the thing they have to achieve whereas Operations team members often have an attitude of avoiding change in anticipation of maintaining stability of systems and/or applications. With the increasing popularity of Agile software development that leads to an increase number of releases that a traditional setup, where Ops Team and Dev Team have different objectives, was not able to handle on an effective manner. While Ops teams represents the running side of IT Services, its objectives are to preserve the operational status of the IT Service and to provide that same continuous IT service to the Business. Dev team is responsible to interrupt the running IT Service in behalf of the business with the objective to deploy new features to that same IT Service [6]. This continuous obstacles and problems lead to the rise of a new approach called DevOps. DevOps is an acronym for development (Dev) and operations (Ops) of business or technology systems and applications and it was originally defined in 2008 [5].

1.1. Problem and Motivation

While having two separate teams doing Development and Operations some problems and lack of synergies can occur. Some distances on a technical, organizational level and use of different tools had been arising between Dev and Ops teams. These distances make the fluent and coherent communication, collaboration and issue resolution between the two teams practically difficult if not impossible [1]. This lack of cooperation and communication between developers and operations personnel results in uncoordinated activities [19]. In the Development side poor communication between the development and operations teams produces undesirable results. Non-functional requirements e.g. performance or availability might be overlooked as the responsibility of running the product is shifted to the operations team, letting developers with a lack of knowledge of what is really happening in Production systems, and without proper access to it and to error logs, developers become frustrated and lack the complete picture of the problems that can occur in those same productive systems [19]. On the Operational side, the lack of IT Operations involvement in the requirements specification and also a poor knowledge

transfer from the Development side, or sometimes even no knowledge transfer at all, brings major issues when running productive systems [11].

1.2. Research Questions

The research questions that will be the objective of this work are related to the analysis of positive and negative impacts when merging from a traditional approach (Development team separated from Operations Team) to a DevOps approach. The analysis will be done using KPI before and after implementing DevOps capabilities since the main objective of this research is to measure the delta of productivity before and after merging the teams to a DevOps approach. To fulfil the proposed objective this research also presents a research question which must be answered. The research question can be seen at Table 1.

Table 1. Research Question

RQ 1	What are the main productivity gains when merging Development and Operations teams
	into a DevOps team

2. Theoretical Background

DevOps has not yet been properly studied in the scientific literature, few researches exist about DevOps. There is no specific definition of DevOps or process to be followed, which makes difficult to companies to adopt DevOps since they might not know which practices or process they should implement [5] [11]. However, we can see DevOps as a conceptual framework that is supported on a culture of collaboration, automation, measurement, and information sharing. DevOps is not a one size fits all solution and it will not act as a silver bullet to solve all IT problems within a company. It should be faced as an adapted artifact to match the unique challenges of each specific environment. [2]. Anyway, the reviewed literature advocates that DevOps brings advantages over the traditional methods of software development, as well as creates several challenges since a significant cultural change inside the company is needed [3].

To understand the importance of DevOps in major companies, International Data Corporation (IDC) has conducted an insight that demonstrates the usage of DevOps within the Fortune 1000 organizations with revenue of at least \$1.39 billion. The result was that 43% of the respondents are currently using DevOps practices, while another 40% are currently evaluating DevOps implementation. [16].

2.1. People, Processes and Technology

Even though there is not a defined and standardized definition of DevOps and its related processes, some authors identify the cornerstones of DevOps as People, Processes and Technology [14].

People: DevOps at his core can be considered as a cultural movement, carrying changes on the way people work. The relations between colleagues should be based on trust and confidence, transparency should be faced as a rule of thumb of a DevOps team. The members of the team should also have common goals and incentives, and not only developers for delivering in time and with quality new features and operations personnel for having an uptime of excellence

Processes: The DevOps process can be considered a business process because aims to affect the entire lifecycle of an application as being a collection of activities or tasks that produce a specific result for customers. When the DevOps approach is in place within an organization, all the involved parties since the business until the operations personnel should able to have the transparency and cooperate in the entire lifecycle of a change [14].

Technology: Automation is an essential keystone of DevOps, it guarantees that the creation, testing and deployment of the software is always done on the same way, this avoids defects created by human error. Automation speeds up software delivery and increases quality by reducing human errors as well more frequent and earlier feedbacks.

2.2. The DevOps Reference Architecture

The reference architecture mentioned on [14] provides a template of a proven solution by using a set of capabilities. This architecture help practitioners access and use the guidelines, directives and other material that is needed to design and create a DevOps platform that accommodates people, processes and technology as described in Figure 1.



Figure 1. The DevOps Reference Architecture [14]

The proposal of this reference architecture is to follow a constant workflow of steering, which consists in establishing business needs and adjust them takin in consideration the feedback from the users. Development / testing where is included the quality assurance in the development process and contains the practices which allow the pipeline of software deployment. Operations has the responsibility to monitor the performance of applications and funnel the users feedback in order to get information for the business and if needed change the direction of the business strategy. Completing this architecture and besides the core elements of DevOps we have 6 capabilities that compose the core elements, those capabilities will be explained below [14].

- a) Continuous Business Planning: Practice that focuses on establishing business goals and adjusting them based on customer feedback. In a traditional software development approach, the information needed to define a correct strategy is fragmented and inconsistent due to the low automatization and processes standardization, the feedback is not received on time to be incorporated on the next release, failing this way to deliver value to the customer.
- b) Collaborative Development: Practice that aggregates all the elements of the different teams in the process of Software Development: Business owners, business analysts, enterprise and software architects, developers, QA practitioners, operations personnel, security specialists, suppliers, and partners. Practitioners from these teams work on multiple platforms and may be spread across multiple locations. This capability is also identified as continuous integration by other authors.
- c) Continuous Testing: Means to test as soon as possible and continuously during the development lifecycle, this leads to a cost and time reduction in costs as well to a better software quality. This practice is viable using techniques like test automation and virtualization to simulate the production environments for the tests to be executed in a scenario more like reality as possible [14] [12].
- d) Continuous Release and Deployment: The objective is continuous delivery is to allow that new functionalities are deployed as fast as possible. It was this practice that has originated the DevOps movement; this capability brought the concept of continuous

- integration to the next step allowing the possibility to create a complete automated pipeline of new features delivery in production [14].
- e) Continuous Monitoring: The continuous monitoring collects data and metrics that are coming from the different stages of the application lifecycle which allows the reaction of the all the parties involved can react fast to improve or modify the functionalities which are being used [14].
- f) Continuous Customer Feedback and Optimization: The new technologies provide the ability to monitor the customer behavior which allows that the business team or any other interested parties can take the necessary actions to improve the software.

3. Related Work

In Section 2 the authors have shown that DevOps has not yet been properly studied in the scientific literature, few researches exist about DevOps. There is no specific definition of DevOps or process to be followed, which makes difficult to companies to adopt DevOps since they might not know which practices or process they should implement [2] [11]. Since DevOps is a recent framework, there is not much available literature about this subject. Nevertheless, it will be presented in Table 2 some case studies where DevOps was applied.

Table 2. Generic Information About DevOps Case Studies

CS ID	Title	Bibliography	Focused Capability
CS.1	Tool Support for Traceability Management of Software Artefacts with DevOps Practices	[8]	N/A
CS.2	Adopting DevOps Practices in Quality Assurance	[12]	Continuous testing
CS.3	End to End Automation On Cloud with Build Pipeline: The case for DevOps in Insurance Industry	[15]	Continuous testing Continuous release and deployment
CS.4	DevOps in Regulated Software Development: Case Medical Devices	[6]	Continuous release and deployment Collaborative development
CS.5	User Stories to User Reality: A DevOps Approach for the Cloud	[10]	N/A
CS.6	DevOps for IoT Applications using Cellular	[4]	N/A
CS.7	Agile Implementation in a Large, Regulated Industry: DevOps and Accelerating Delivery Case	[17]	Continuous release and deployment Continuous testing
CS.8	DevOps and Moving to Agile at a Large Consumer Website: Getting Faster Answers at Yahoo Answers Case	[17]	Continuous release and deployment Continuous testing

As can be seen at Table 2, the absence of researches studying the entire set of DevOps capabilities can be noted. The main contribution of this research is the addiction of novel finding regarding the implementation of four DevOps capabilities where few or none information exist in the body of knowledge.

4. Research Methodology

Case Study research is the preferred method when a question "why" or "how" is being done over a set of contemporary events [20] these general questions are meant to open up the door for further examination of the phenomenon observed, this method is also meant to start a study on a determined phenomenon observed where there isn't prior work [4, 5]. As mentioned in section 2.2, DevOps has not yet been properly studied among the literature, in context of the previously stated this research will use Case Study research method.

Considering the above scenario, the used research methodology will be an exploratory Case Study. This type of research is used to study determined phenomenon observed in areas with lack of research [20] as well questions like "what" are from an exploratory nature since is objective is to develop propositions for future researches, which is compatible with the questions that are stated in this research [9] [20, 21]. This research will be a single case study since the focus will be a single team, which is a single unit of analysis as described by [20].

Triangulation means taking different angles towards the studied object and thus providing a broader picture [7] [13] [21]. This method is important to increase the precision of empirical research and will be used to answer the research questions stated in the research questions of section 1.2. The methods used in this research for triangulation are: Data source triangulation (using more than one data source or collecting the same data at different occasions) observation and methodological triangulation (combining different types of data collection methods).

This case study will be divided in four stages as suggested by Tellis and Runeson [13] [20] and detailed in Table 3. The remaining document is organized according the CS phases presented on the same table.

Stage	Stage Description	
Design the Case	This stage aims to define the case study objectives and to plan the case study	
Study	itself.	
Conduct the	Preparation of the data collection, procedures and protocols for data collection is	
Case Study	defined and execution of the data collection takes place.	
Analysis of	Define an analytic strategy to evaluate the data gathered in the previous stages of	
collected data	the research	
Develop	Develop conclusions regarding the data analysis made on the previous stages in	
Conclusions	order to establish a bridge between the researcher and the user to explain the	
	benefits or problems found during the research.	

Table 3. Case Studies Stages adapted from [13] and [20]

5. Design the Case Study

The objective of this research is to answer the research questions formulated in Table 1. For that purpose, the object of the research will be an IT team composed of 17 professionals which is part of a multinational company acting on the areas of Industry Automation; Electrification and Digitalization with approximately 365k employees. This IT team is in Portugal in an IT nearshore center and provides services to internal customers. This team was in the past divided in the traditional approach: Development and Operations and is now merged into a DevOps team. To evaluate the performance of the team before and after the transition to a DevOps approach, a KPI will be measured before (our baseline) and after that same transition (AS-IS): "Percentage of time spent by the team grouped by activities"

This KPI was defined by the company management as the strategic KPI to be measured and by consequence evaluate the success of this merge. The KPI will be analysed before and after each phase of the DevOps implementation and detailed in Table 7. The focus of this research will be the analysis of the KPI delta after implementing phase 1.

Phase 1: It was decided to implement 4 capabilities that the company considered to be the less complex to implement: Continuous business planning, Collaborative development, Continuous monitoring, Continuous customer feedback and optimization.

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Phase 2: The last 2 and more complex capabilities were implemented: Continuous testing and Continuous release and deployment. Table 4 describes the phases on which the core activities and correspondent capabilities were implemented and respective time for implementation. This phase will not be considered for this research since is still under implementation at the time this paper is being written.

Table 4. Phases of DevOps implementation

Phase	Capability	Core Activity	Implementation time
1	Continuous business planning	Steer	
1	Collaborative development	Develop/Test	
1	Continuous monitoring	Operate	12 Months (Jan 2017 – Jan 2018)
1	Continuous customer feedback and optimization	Operate	(Jan 2017 – Jan 2018)
2	Continuous testing	Develop/Test	
2	Continuous release and deployment	Deploy	6 Months (Feb 2018 – Aug 2018)

The data used in this research was collected from two sources:

- 1. Using the team capacity planning information for 2017 and 2018, where are planned all the team hours with a breakdown by activities: Meetings, Development (Stories and Defects), Release and Deployment, Team Management, Business Analysis, Automated Testing, Architecture, Operational Work. This information will allow us to infer all the hours planned for the team by task and provide input for the KPI calculation.
- 2. This research will also present data collected in interviews with 5 senior team members which had hands-on experience during the time of this merging process. Yet due to space constraints we have combined only the most important parts of the interviews and detailed them to section 7.

6. Conduct the Case Study

In this stage the authors gathered, compiled and worked all the data needed to analyse the KPI mentioned in section 5. The interviews conducted to the 5 senior members of the IT team had the purpose to get their own conclusions about this merge, further ahead in section 7 those testimonies will be crossed with the analytical analysis of the KPI evolution over the months.

For the team planning capacity, the information collected was provided by the responsible person of the team regarding year 2017 and 2018 (until February) in excel format and with the detailed hours spent by each type of activity.

The interviews were collected via questionnaire where it was asked the open questions presented in table 5. Has already stated in Section 5 due to space constraints, only the most important and relevant parts of the interviews are highlighted on this research, yet all the fundamental information for this research extracted from those interviews is in Section 7.

Table 5. Interview Questions

Question Number	Question		
Q1	What is your general opinion about the baseline situation (before the DevOps merge)		
Q2	Comment the percentage of time spent on each activity in the baseline situation		
Q3	What is your general opinion about the AS-IS situation (After implementation of Phase1)		
Q4	Comment the percentage of time spent on each activity in the AS-IS situation		

7. Analysis of collected data

On this stage it is supposed to analyse the data that was collected on the previous stage. The data that was collected from the team resource management documents and from the interviews with the team members. The Analysis will be divided in two different times of the implementation timeline:

- 1. Baseline Status: Status of the team regarding time spent for each activity before the merge.
- 2. Status after Phase1: Status of the team regarding time spent for each activity after the 4 capabilities are implemented.

7.1. Baseline Status

As a baseline, before the teams were merged, operational work consumed most part of the activities accordingly to the answers of the team members to question Q1 presented on table 5: "Due to the high amount of time invested in operations there was no possibility to put more effort in activities considered crucial to increase the team service quality as well evolve the team to more valuable activities then operational work: Business Analysis, Automated Testing and Architecture. Also the operational work has a lot of manual repetitive tasks which are of no interested and demotivate the team members who have to do those tasks. This situation had to be changed and to increase the budget was not considered by the company management, with this scenario in the table the decision to move to a DevOps approach was taken with the vision that this change would allow to better optimize the team capacity".

Table 6 – Comment collected from the team members of the Baseline status of the team

Activity % Team men		Team members Comments	
		This percentage is expected and aligned with the team members work	
Meetings 13%		experience.	
Defects 17%		At this moment the team consumed a high number of hours in solving Defects, this was one of the numbers that the team wanted to reduce to release the team to develop new features as well increase customer satisfaction.	
Stories	14%	The time used for stories was very low at this moment, this number should increase to provide more features to the end user in a faster way and with a higher quality.	
Release and Deployment	Release and And This percentage is expected and aligned with the team members work		
Team Management	3%	This percentage is expected and aligned with the team members work experience.	
Business Analysis	1 0%		
Automated testing 0% not a solution due to budget restrictions. This activity is crucial to implement phase 2, without automated testing phase 2 could never implemented. In this situation the idea is again to optimize the team		Crucial activity that was not being done by the team. Hiring a Tester was not a solution due to budget restrictions. This activity is crucial to implement phase 2, without automated testing phase 2 could never be implemented. In this situation the idea is again to optimize the team to reduce operational work and free time to implement Automated Testing	
Architecture 0% was not an option due to budget restrictions, so the idea is to o		Crucial activity that was not being done by the team. Hiring an Architect was not an option due to budget restrictions, so the idea is to optimize the team to reduce operational work and free time for Architecture tasks.	
Operational Too high percentage, the best practice stands that only 20% of a ten capacity should be used for operations. Operational, work is demonstrated as a second control of the capacity should be used for operations.		Too high percentage, the best practice stands that only 20% of a team capacity should be used for operations. Operational, work is demotivating and from a financial point of view is negative. The goal is to reduce this percentage to 35% or less after phase1 is finalized.	

Table 6 shows the percentage of time spent by the team for each activity as well the most relevant comments of the team members. This table contains the answer to Q2 presented on table 5.

7.2. Status after Phase 1

After Phase1 was implemented the authors have compared the differences of percentage of time spent per activity in the baseline situation and after phase 1, to have a more graphical overview about this situation a report was created and is showed on Figure 3.

Figure 2 - Comparing Baseline and Phase1

After the 4 capabilities were implemented there was some improvements noticed by the team members accordingly to the answers to Q3 presented on table 5: "The implementation of the 4 capabilities has marked a milestone, phase 1 was completed and the results were shared with the management. The operational work was dramatically reduced which allowed to shift the team capacity to more valuable tasks, this was a positive point because with the same budget the team provided more services. This decrease on the operational work was also a strong driver for the management to decide implement DevOps in other projects inside the company and boosted the team motivation because some very concrete result could be seen. The management also communicated to the team that the end user satisfaction perception also improved significantly. As a negative side the team took too long to implement 4 DevOps capabilities: 12 months, the reason for this was the lack of experience of the team in the DevOps framework as well some obstacles caused by the customer that also had difficulties in adapting to this new mindset. The team aims to implement phase 2 in 6 months, even if the 2 remaining capabilities are complex to implement they now have the experience from phase 1".

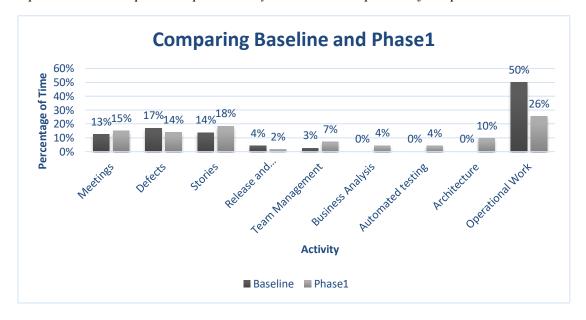


Table 7 shows the percentage of time spent by the team for each activity, the delta with the baseline status as well the most relevant comments of the team members. This table contains the answers to Q4 presented on table 5.

Table 7-Comment collected from the team members after Phase 1 implementation

Activity	%	Δ% to Baseline	Team members Comments
Meetings	15%	+2%	It was noticed a need for more communication among team members not only because we are working on a different approach, but one of the DevOps core is communication so it was expected an increase of meetings that the team used to align objectives. For this increase the capability that contributed the most was Collaborative Development
Defects	14%	-3%	The percentage of Defects was reduced in 4%, it was expected a bigger decrease, yet a possible cause might be that in 12 months a considerable amount of new services is live and that implies that more code is in the system which can obviously originate more Defects. Anyway, this number is considered a success. For this decrease the capabilities that contributed the most were Continuous Business Planning and Continuous Monitoring.
Stories	18%	+4%	The percentage of developed stories increased 4% this was one of the objectives that the team wanted to achieve and it's a positive indicator. It was expected a bigger increase but along the 12 months it was decided to divide the available capacity to other activities like Business Analysis and Automated Testing and not only stories development. For this increase the capabilities that contributed more were Collaborative Development and Continuous customer feedback and optimization, with the implementation of this last capability one interesting situation happened, the feedback loop between developers and customer was frequent and short so a lot of rework and specification changes was avoided which substantially reduced the waste of development hours.
Release and Deployment	2%	-2%	Contrary to the expected, the time spent for this activity decreased, after analysis we understood that automation had a crucial role in this decrease, the release technical experts automatized almost by complete the entire release and deployment process which lead to a big decrease on the time of this activity. This capability (continuous release and deployment) was not included in phase I however by need to avoid a bottleneck the team had to do some work on this area as well. It's not fully implemented but the laying foundations are already in place. Also, a very positive result on this activity.
Team Management	7%	+4%	It was already expected that the need for steering and organization of team could increase first because of some points: DevOps coaching was needed and that tasks is seen as a Team Management task. There was also the need to improve communication with all team members that was now only one joint team. All the 4 capabilities implemented on phase I were responsible for the increase of this activity.
Business Analysis	4%	+4%	Very good indicator in this activity, because capacity was release from the operational work the team has shifted capacity to start performing the mentioned activity, the goal is to increase this value after phase 2, yet a very good indicator praised by the management.
Automated testing	4%	+4%	Very good indicator in this activity, because capacity was release from the operational work the team has shifted capacity to start performing the mentioned activity, it's our goal to increase this value after phase 2, yet a very good indicator praised by the management.
Architecture	10%	+10%	Very good indicator in this activity, because capacity was release from the operational work the team has shifted capacity to start performing the mentioned activity, it's our goal to increase this

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			value after phase 2, yet a very good indicator praised by the management.
Operational Work	26%	-24%	The golden nugget of phase1. 24% decrease of the operational work was an impressive mark and clearly above expectations. This fact allowed the shift of the team capacity to 3 very important activities that the team was not performing: Business Analysis, Automated Testing and Architecture. In the future with phase 2 the goal is to decrease this value until 18%-20%. The main DevOps capabilities responsible for this decrease were Continuous Customer Feedback and Optimization and Continuous Monitoring.

8. Develop Conclusions

This research aims to provide novel insights regarding the adoption of DevOps capabilities by merging both IT development and IT operation teams. The novelty of the insights is related with the scarce or totally absence of information about the implementation of the correspondent capabilities among the literature.

In section 7 a detailed information about the percentage of time applied to each activity of an IT team was presented. It was also shared the view of 5 team members, which had a close contact with the transition, crossing these this two data sources some conclusions were derived.

The operational work decreased from 50% of the overall time of the team to 26% and this was the major success of this initiative. The time saved on operational work gave the team the possibility to start doing another kind of activities, more valuable, that were considered crucial for the service delivery quality of the team but that were not being performed due to lack of capacity (most part of the capacity was being spent on operational work): Architecture, Business Analysis and Test Automation.

We could also notice a decrease on defects (-3%) and increase on development capacity (+4% in stories) and this represents a positive trend in shifting capacity from defect solving to development of new features, which increases delivery quality, increased customer satisfaction with a product with less bugs and more features. This has also positive impact in the team engagement because is always more desirable to create new features then correct bugs.

One also interesting result was the increase on the management activities (+4%), yet accordingly to the team members this was because a coaching of the team was needed to perform the merge to a DevOps model and this coaching activity was part of the team manager activities. In a near future when the team is more mature on the DevOps model is expected a decrease on this value.

It was possible to infer from the interviews that the team members are satisfied to apply these practices due to the agility of DevOps and the involvement of all the stakeholders, they feel their work has more impact and it's recognized by all the organization. Is their feeling that they are now leveraging the business and not being a bottleneck for the business as traditional IT is recognized to do so.

As a negative side we could infer that the transition took more time than expected, 12 months to implement 4 DevOps capabilities, this was because of 2 main reasons, lack of experience on the team side of the DevOps framework and also the difficulty to change the mindset of a very conservative customer that worked in the traditional way since the last 30 years, and resistance to change is always a difficult obstacle.

This research also has some limitations, only 4 out of 6 DevOps capabilities are analyzed on this research, this is because 2 capabilities are still under implementation and the company could not provide yet the data for analysis and this research is based on the transformation of a single team and other perspectives may exist with different results.

The future work for this research will be to analyze the resource planning data after phase 2 is completed (implementation of all 6 capabilities) and understand if the positive trend

maintains for this team. After we have the data for phase2 we are in conditions to do the complete analysis of the benefits and impacts of this team's merge to a DevOps team.

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