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2 **Emphasizing the losses or the gains: Comparing situational and individual**  
3 **moderators of framed messages to promote fruit and vegetable intake**  
4

5 Cristina A. Godinho<sup>1</sup>

6 Maria-João Alvarez<sup>2</sup>

7 Maria Luísa Lima<sup>1</sup>

8  
9  
10 1. Instituto Universitário de Lisboa (ISCTE-IUL), CIS-IUL, Lisboa, Portugal

11 2. Faculty of Psychology, University of Lisbon, Portugal  
12

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18 *Corresponding author:*

19 Cristina Godinho

20 CIS-IUL, Instituto Universitário de Lisboa

21 Av. das Forças Armadas,

22 1649-026 Lisboa

23 E-mail: godinhocristina@gmail.com  
24  
25

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28

**Abstract**

29 Health messages are a commonly used way to promote changes in dietary habits but  
30 their efficacy could be enhanced by strategies such as the way in which the presented  
31 arguments are framed. This study aimed to test the effectiveness of framed messages  
32 (gain vs. loss) on behavioural intention and fruit and vegetable (FV) intake, comparing  
33 predictions based on prominent theoretical perspectives on message framing (perceived  
34 function of the health behaviour and recipients' motivational orientation) and by further  
35 exploring the role of baseline intentions as a potential moderator of the framing effects.  
36 Undergraduate students ( $N= 180$ ) completed the three assessment points in time. At  
37 baseline, individual moderators (motivational orientation and intentions) and fruit and  
38 vegetable intake were assessed. One week later, participants were randomly assigned to  
39 the loss or gain-framed message and indicated their intentions for FV intake the  
40 following week. A week later, FV intake over the previous week was assessed. The  
41 gain-frame was not conducive, per se, to higher intentions or behaviour. Having  
42 intention as the outcome, only baseline intentions moderated the effects of message  
43 frame. When considering FV intake as the outcome, both motivational orientation and  
44 baseline intentions moderated the effects of message frame, with the loss-frame  
45 promoting higher FV intake among individuals who were prevention-oriented and had  
46 higher baseline intentions. Findings suggest that the success of framed messages for FV  
47 intake depends upon the recipient's characteristics, such as motivational orientation,  
48 baseline intentions, and cultural background, with implications for health  
49 communication interventions.

50

51 **Keywords:** health communication, message framing moderators, fruit and vegetable  
52 intake, behavioural intentions, behaviour change.

53 Emphasizing the losses or the gains: Comparing situational and individual moderators  
54 of framed messages to promote fruit and vegetable intake

55 Fruit and vegetable intake is a critical aspect of a healthy diet due to its  
56 association with a lower risk for cardiovascular diseases (He, Nowson, Lucas, &  
57 MacGregor, 2007), type II diabetes (Carter, Gray, Troughton, Khunti, & Davies, 2010)  
58 and certain types of cancer (Liu & Russell, 2008). Despite these benefits, many people  
59 fall short of recommended guidelines for daily intake (Hall, Moore, Harper, & Lynch,  
60 2009). Thus, it is vital to understand the conditions under which certain intervention  
61 strategies, such as trying to persuade people about the benefits of fruit and vegetable  
62 consumption, lead to increases in the adherence to recommended levels of this intake.

63 One question that can be raised in this regard is whether emphasizing either the  
64 costs of non-performing a health behaviour (i.e., the use of a *loss* frame) or the benefits  
65 of performing it (i.e., the use of a *gain* frame) make a difference when it comes to  
66 persuading people to increase their fruit and vegetable intake. Indeed, two decades of  
67 research on message framing support the claim that, even when communicating exactly  
68 the same consequences, the particular frame that is used in a message may have a major  
69 influence on behavioural outcomes (Gallagher & Updegraff, 2012; Rothman & Salovey,  
70 1997).

71 The question about which frame might be more helpful in fostering health  
72 behaviours has been rapidly transformed into under which conditions a loss- or a gain-  
73 frame is particularly effective. The range of framing effect moderators proposed in the  
74 literature up to now have been either tied to the particular health behaviour and context  
75 (situational moderators) or to the personal characteristics of the individual (dispositional  
76 moderators). By far, the two most scrutinized moderators are the perceptions regarding

77 the function of a particular health behaviour (a situational moderator) and the  
78 motivational orientation of the recipient (a dispositional moderator).

79 However, by and large, both bodies of the literature have developed separately,  
80 and up to now few studies have made an explicit attempt to examine how these different  
81 classes of moderators, situational and individual, contribute for the prediction of  
82 specific behaviours (Rothman & Updegraff, 2011). The present study integrates  
83 predictions from these two theoretical perspectives for a single health behaviour – fruit  
84 and vegetable (FV) intake - and further explores the role that behavioural intentions  
85 might also play as an individual moderator of framing effects.

#### 86 **A situational moderator: Function of the health behaviour**

87 The first framing studies applied to health issues were derived from the Prospect  
88 Theory (Tversky & Kahneman, 1981), which sustains that people are risk averse  
89 when contemplating possible gains, but are risk-seeking when confronted with possible  
90 losses. The same rationale was applied to the health domain by Rothman and Salovey  
91 (1997), who proposed that when thinking about the consequences of performing (or not  
92 performing) a health behaviour, people should be more responsive to appeals that  
93 emphasize the gains of performing it, as long as the behaviour itself is perceived as safe  
94 (i.e., not risky). On the contrary, if performing a health behaviour is perceived as being  
95 risky, as might be the case of undergoing a screening test, given that one 'risks' the  
96 possibility of finding out that one has a disease, a loss-frame would be more effective.  
97 The function of the health behaviour, whether related to illness prevention (e.g., eating a  
98 balanced diet) or illness detection (e.g., doing a HIV test) was proposed to work as a  
99 heuristic people use to infer the risk of a certain behaviour and should, therefore, be a  
100 moderator of framing effects.

101 Many studies have demonstrated a relative effectiveness of loss-framed messages  
102 in the promotion of detection behaviours (e.g., Kalichman & Coley, 1995; Rivers,

103 Salovey, Pizarro, Pizarro, & Schneider, 2005) and the use of gain-framed messages in  
104 the promotion of prevention behaviours (e.g., Detweiler, Bedell, Salovey, Pronin, &  
105 Rothman, 1999; Kiene, Barta, Zelenski, & Cothran, 2005). Only a few studies (e.g.,  
106 Bannon & Schwartz, 2006; Dijkstra et al., 2011) have tested the predictions derived  
107 from the Prospect Theory specifically for FV intake in response to framed messages.  
108 Results of these studies did not yield a clear advantage of the gain-frame condition for  
109 the promotion of FV intake. An exception was found in a study where the messages  
110 were personalized in order to be more self-relevant (Dijkstra et al., 2011). However, in  
111 this particular study the results were not driven by the gain frame being more effective.  
112 On the contrary, they were related to the loss frame being comparatively less effective.  
113 Such result was attributed to defensive reactions in response to higher levels of threat  
114 induced by the loss-framed message when they were perceived to be more self-relevant.

#### 115 **An individual moderator: Motivational orientation**

116 The recipients' characteristics, such as differences in motivational orientation,  
117 have also been shown to moderate the effects of framed health messages, in what has  
118 been called the 'congruency effect' (Mann, Sherman, & Updegraff, 2004). Motivational  
119 orientation refers to the dominant motivational system involved in the regulation of  
120 behaviour, and the existence of important individual differences towards gains and  
121 losses has been demonstrated (Higgins, 1997). Promotion-focused individuals are  
122 motivated by opportunities of accomplishment (e.g., eating fruit and vegetables in order  
123 to have more energy and feel good), while prevention-focused individuals are motivated  
124 by the prospect of preventing negative things from happening (e.g., eating fruit and  
125 vegetables to prevent cancer or cardiovascular diseases).

126 Studies with different health behaviours have shown a clear advantage of the use  
127 of gain-framed messages for promotion-focused individuals and of loss-framed  
128 messages for prevention-focused individuals (e.g., Gerend & Shepperd, 2007; Latimer

129 et al., 2008a). Furthermore, in a study on fruit and vegetable intake an interaction  
130 between frame and motivational orientation was found, in the expected direction  
131 (Latimer et al., 2008b). Nevertheless, the results of this study are not readily comparable  
132 to those following the Prospective Theory perspective on framing for FV intake, since  
133 the intervention consisted of several materials other than just the framed health  
134 messages. In a somewhat comparable study, individuals with high autonomy (i.e., who  
135 act in accordance with their inner values or ideals, rather than by pressure of others or  
136 'oughts') were found to increase their FV intake after being exposed to a gain-framed  
137 rather than a loss-framed message (Churchill & Pavey, 2012).

### 138 **Exploring the role of behavioural intention as an individual moderator**

139 Behavioural intention is a key predictor in most of the social-cognitive models of  
140 health behaviour (Armitage & Conner, 2000) and it has also been conceptualized as an  
141 important marker of individuals' mindset and their readiness for change (Conner &  
142 Norman, 2015; Schwarzer, 2008). Most stage models of health behaviour change use  
143 intention as an indicator of the stage at which individuals find themselves (Schüz,  
144 Sniehotta, Mallach, Wiedemann, & Schwarzer, 2009), distinguishing motivational  
145 processes, leading to intention formation, from volitional ones, leading to behavioural  
146 enactment. Considering the recommendation to tailor health messages' content  
147 according to the individuals' stage of change (Weinstein, Lyon, Sandman, & Cuite,  
148 1998), and given the importance of intention as a turning point in the health behaviour  
149 change process (Conner & Norman, 2015), one might ask what type of message frame  
150 would be more suitable for individuals at different levels of intention.

151 Despite the prominence of intention among other social cognitive variables, namely for  
152 the prediction of fruit and vegetable intake (Mullan, Allom, Brogan, Kothe, & Todd,  
153 2014) to the best of our knowledge, it has never been explored as a moderator of  
154 message framing effects.

155 Research has, nonetheless, shown that loss frames are more effective when the  
156 topic is highly relevant to the receiver of the message and that gain frames are more  
157 effective when the topic is lowly relevant to the receiver (de Graaf, van den Putte, & de  
158 Bruijn, 2015; Maheswaran & Meyers-Levy, 1990). The moderating effects of issue  
159 involvement can be explained by models of attitude change. According to these models,  
160 issue involvement should promote a systematic processing of the information contained  
161 in the message (Petty & Cacioppo, 1986) and under conditions of systematic  
162 information processing, negative information receives greater weight and attention than  
163 positive information, due to a negativity bias (Dijksterhuis, & Aarts, 2003). On the  
164 contrary, when processing messages using the peripheral route, positive information is  
165 used as a heuristic, and it may generate more positive associations with the topic and,  
166 therefore, be conducive to higher attitudinal and/or behavioural change (Maheswaran &  
167 Meyers-Levy, 1990).

168 As it is likely that individuals who have strong intentions to change their  
169 behaviour are at the same time very involved with information pertaining to that  
170 specific behavioural domain, intention stands out as a strong candidate as a moderator  
171 of framed health messages. Previous research has, indeed, confirmed the existence of a  
172 strong and positive relationship between intentions and issue involvement (e.g., Bae e  
173 Kang, 2008; Pieters & Verplanken, 1995; Skumanich & Kintsfather, 1996). Thus, to the  
174 extent that individuals who hold the intention to increase their FV intake consider health  
175 messages related to FV intake as being more personally relevant than individuals  
176 without the intention to increase FV intake, it may be expected the effects of message  
177 framing to be moderated by individual's intentions, similarly to the moderation pattern  
178 that has been described for issue involvement (Maheswaran & Meyers-Levy, 1990).

179 **Outcome measures of framing effects**

180 Besides differences in the adopted theoretical perspectives, framing studies often  
181 report on different outcome measures for the framing effects. Some studies report the  
182 effects of framing on intentions to perform a given health behaviour (e.g., Dijkstra et al.,  
183 2011), while others report framing effects on actual behaviour (e.g., Latimer et al.,  
184 2008b). Such differences imply that results might not be readily comparable. While a  
185 meta-analysis examining the role of framing in intentions to perform prevention  
186 behaviours did not offer much support for the use of gain- over loss-framed messages  
187 other than for the promotion of dental hygiene behaviours (O'Keefe & Jensen, 2007),  
188 when using behaviour as the outcome measure, gain-framed messages were shown to be  
189 more effective in the promotion of illness-prevention behaviours such as physical  
190 activity, smoking cessation and skin cancer prevention (see Gallagher & Updegraff,  
191 2012).

### 192 **Framing manipulation**

193 On the basis of the Regulatory Focus Theory (Higgins, 1997), and as stressed in  
194 previous research (Dijkstra et al., 2011; Yi & Baumgartner, 2009), both the presence of  
195 a positive and rewarding outcome and the absence of a negative and aversive outcome  
196 might be considered a 'gain' and both the presence of a negative and aversive outcome  
197 or the absence of a positive and rewarding outcome might be considered a 'loss'. This is  
198 an important distinction that has been highlighted by Regulatory Focus Theory  
199 (Higgins, 1997) and that has been more recently acknowledged in the health framing  
200 literature deriving from a Prospect Theory perspective (e.g., Dijkstra, Rothman, &  
201 Pietersma, 2011).

202 Regulatory focus theory further establishes that individuals differ in their  
203 sensitivity to the end-states (or reference points) that motivate the behaviour, with  
204 promotion-oriented individuals being mostly motivated by the prospect of achieving a  
205 positive/desirable outcomes and prevention-oriented individuals being mostly motivated

206 by the prospect of avoiding negative/ undesirable outcomes (Scholer & Higgins, 2008).  
207 Besides, it establishes a difference between (a) needs related to nurturance,  
208 accomplishment and growth, which are regulated by representations of ideal end-states  
209 (“wants”), and involve a promotion focus, and (b) needs related to security, duty and  
210 responsibility (“oughts”), which involve a prevention focus (Scholer & Higgins, 2008).

211         Given that the different levels at which the messages can be framed have been  
212 somewhat confounded in the literature (cf. Dijkstra, Rothman, & Pietersma, 2011), we  
213 aimed to disentangle the different levels at which health messages may be more  
214 appealing to individuals high in promotion vs. prevention motivational orientation.  
215 Thus, we decided to present outcomes related to “wants” (e.g., feeling vs. not feeling  
216 energized) and “oughts” (preventing vs. not preventing disease) in the two framed  
217 messages, only switching the end-states (or reference points) that motivate the  
218 behaviour, i.e., achieving a positive/desirable outcome (e.g., being energised / being  
219 healthy) versus avoiding a negative/undesirable outcome (e.g., preventing being with  
220 less energy / preventing being ill).

### 221 **Aims and hypotheses**

222         Several studies have already been conducted on the moderators of health message  
223 framing, namely on the function of behaviour and motivational orientation, however  
224 their interplay has seldom been studied. Likewise, to our knowledge, no prior study has  
225 examined the potential role of intention as a moderator of framing effects. Furthermore,  
226 framing studies are not always comparable in the sense that some use intention as the  
227 main outcome variable while others use behaviour (either objectively assessed or by  
228 means of self-report), and the way in which frame is manipulated may also diverge.  
229 Thus, the aim of this experimental study on fruit and vegetable intake is three-fold: 1) to  
230 compare predictions based on both theoretical perspectives on framing moderators (i.e.,  
231 function of health behaviour and motivational orientation), using a more controlled

232 manipulation of message frame; 2) to explore the role of behavioural intentions as a  
233 potential moderator of framing effects; 3) to use both intention after message exposure  
234 and behaviour over the following week as the outcome variables.

235 Drawing from the Prospect Theory and considering that fruit and vegetable  
236 consumption is essentially a non-risky behaviour, the first hypothesis is that a gain-  
237 frame will be more effective for the promotion of both intentions and actual fruit and  
238 vegetable intake. On the basis of the congruency effect, the second hypothesis is that  
239 frame and motivational orientation will interact in the prediction of fruit and vegetable  
240 intake one week later, in the sense that loss-framed messages will be more effective for  
241 prevention-focused individuals and gain-framed messages will be more effective for  
242 promotion-focused individuals. Finally, the third hypothesis is that for individuals  
243 already holding an intention to change, a loss-frame will be more effective than a gain-  
244 frame, whereas for individuals who do not have the intention to change, a gain-framed  
245 message will be more effective.

## 246 **Method**

### 247 **Participants**

248 One hundred and ninety five undergraduates of Psychology, Pharmacy, Dentistry,  
249 Medicine, Biology and Chemistry courses were enrolled in the study, fifteen of whom  
250 did not participate at all points of the study and were, therefore, excluded from the  
251 analyses. The longitudinal sample consisted of 180 participants, 28 men (aged 18-50;  $M$   
252 = 24.4;  $SD = 8.54$ ) and 152 women (aged 18-48;  $M = 23.0$ ;  $SD = 4.94$ ) from three  
253 different Portuguese universities. At the end of the study, participants were granted a  
254 course credit or a 5€ voucher. None of the participants had any allergies or restrictions  
255 regarding the consumption of FV.

### 256 **Procedure**

257 During short breaks in the classes and/or through mailing lists of the students'  
258 associations, students were told that the aim of the study was to find out what the best  
259 ways to communicate the results of scientific research to the general public were. Those  
260 who agreed to participate provided their e-mail addresses to receive an initial online  
261 questionnaire (Time 1) which started by explaining the study in more detail, namely the  
262 name of the research centre and the average length of time it took to complete, in  
263 addition to reminding participants what the aim of the study was, and assured data  
264 confidentiality. Participants then provided their informed consent, in accordance with  
265 the ethical standards of the three universities. This first questionnaire assessed  
266 motivational orientation, baseline fruit and vegetable intake, intention towards the  
267 eating of at least five portions of FV a day, and some demographic data as well as  
268 specific questions on food restrictions and allergies. All moderator variables as well as  
269 baseline levels of fruit and vegetable intake were measured one week before exposure  
270 to the framed messages, so as to discard the possibility that this measurement might  
271 have an effect on the dependent variables.

272 The experimental session (Time 2) was held at the same university to which the  
273 participants belonged, approximately one week after completion of the first  
274 questionnaire. The reason behind having the participants come to the lab was to ensure  
275 they would all see the framed message in very similar conditions. At the beginning of  
276 the session, participants were randomly assigned by the software to either the gain- or  
277 loss-framed message. They were then asked about their intention to increase their FV  
278 intake in the following week and completed the manipulation check. A further week  
279 later (Time 3), participants received the last online questionnaire to assess their FV  
280 intake.

## 281 **Materials**

282 In order to disentangle the notion of gain vs. loss from the presence vs. absence of

283 the outcomes, message framing was manipulated by presenting only the *presence* of  
284 gains as a result of compliance (gain-framed message) vs. the *presence* of losses as a  
285 result of non-compliance (loss-framed message), while referring in both versions to  
286 exactly the same outcomes (i.e., *same consequences* framing). Also, according to the  
287 Regulatory Focus Theory, some outcomes are intrinsically promotional (e.g., having  
288 more energy), whereas others are intrinsically preventive (e.g., having better health).  
289 Therefore, to control for such confoundedness, both types of outcomes (promotional  
290 and preventive) were presented in both loss- and gain-framed messages.

291 The gain-framed message (412 words) explained the positive effects of eating at  
292 least 5 portions of FV a day, whereas the loss-framed message (417 words) presented  
293 the negative effects of not eating this same amount of FV (see Appendix A). The framed  
294 messages were presented in a video format, where participants could read the text  
295 presented in white font on a black screen, while simultaneously listening to a voice over  
296 reading the text aloud. This presentation format intended to control for the effects of  
297 other stimuli besides the message content and ensure that – even whenever not reading  
298 the message carefully – all participants would at least hear it. The video presentation  
299 lasted approximately two minutes in both message conditions.

### 300 **Measures**

301 **Motivational Orientation.** Motivational orientation was operationalized through  
302 the Promotion/Prevention Scale by Lockwood, Jordan and Kunda (2002), which enables  
303 assessment of both general and context-specific (i.e., academic) motivational  
304 orientation. Since the interest here was to evaluate general motivational orientation, the  
305 four items specifically related to academic motivations were excluded from the  
306 questionnaire. The promotion sub-scale was composed of seven items related to the  
307 prosecution of aspirations and an ideal self (e.g., *I frequently imagine how I will*  
308 *achieve my hopes and aspirations*, *I often think about the person I would ideally like*

309 *to be in the future*'), whereas the prevention sub-scale was originally composed by  
310 seven items related to the avoidance of negative events and a feared self (e.g., *I often*  
311 *think about the person I am afraid I might become in the future*, *I often imagine myself*  
312 *experiencing bad things that I fear might happen to me*'). Responses were given on a 9-  
313 point scale ranging from 1 ('not at all true of me') to 9 ('very true of me').

314 In order to examine the underlying structure of the scale in our sample, an  
315 exploratory factor analysis was conducted using the principal components method of  
316 factor extraction followed by varimax rotation. Considering that the final goal was to  
317 extract only two factors – one for prevention and one for promotion - this was used as  
318 an *a priori* criteria for the number of factors to be extracted (Hair, Black, Babin, &  
319 Anderson, 2010). Results showed that communalities were very low (< .40) for items 1  
320 and 15 of the original scale. Therefore, both items were removed, and the procedure was  
321 repeated. In this second solution, there was still one item (item 2) that showed a rather  
322 low communality (<.50) and was, therefore, removed. The final solution comprised all  
323 the original seven items for the promotion sub-scale (loadings >.59), and four items for  
324 the prevention sub-scale (loadings >.69), and enabled explanation of 61.5% of the total  
325 variance.

326 The reliability of both sub-scales (Promotion Cronbach's  $\alpha = .87$ ; Prevention  
327 Cronbach's  $\alpha = .82$ ) was slightly higher than the original ones (see Lockwood et al.,  
328 2002). The motivational orientation index was created by subtracting the mean of  
329 prevention scores from the mean of promotion scores, so that positive values indicated a  
330 prevalence of promotion orientation, whereas negative values were indicative of  
331 prevention orientation predominance. The values of this index could vary between -8  
332 and +8.

333 **Intention.** Three items were used to assess intentions regarding FV intake: *I*  
334 *intend to eat at least 5 portions of fruit and vegetables a day from today on*', *From now*

335 *on, I have the goal of eating 5 or more portions of fruit and vegetables a day*' and *I*  
336 *want to eat a minimum of 5 portions of fruit and vegetables a day, everyday*'. Answers  
337 were given on a 7-point scale ranging from 1 ('totally disagree') to 7 ('totally agree') and  
338 showed good reliability (T1 Cronbach's  $\alpha = .96$ ; T2 Cronbach's  $\alpha = .95$ ).

339 **Fruit and vegetable intake.** Two items, one for fruit and one for vegetables,  
340 assessed FV intake: *'In the (last two weeks (T1)/ last week (T3)) how many (pieces of*  
341 *fruit / portions of vegetables) have you eaten every day?'* Some examples were  
342 provided to help define the concept of portion (e.g., a soup, one bowl of salad, a glass of  
343 freshly squeezed and 100% fruit juice) and it was clarified that potatoes should not be  
344 considered. A similar self-report measure of FV intake has been validated against  
345 dietary biomarkers and a food frequency questionnaire (Stephens et al., 2003). Responses  
346 were given on a 6-point scale ranging from 0 ('less than a portion per day') to 5 ('four  
347 portions or more a day'). A fruit and vegetable intake index was created by summing the  
348 reported number of pieces of fruit and vegetable portions.

349 **Message involvement.** Six items (Cronbach's  $\alpha = .94$ ) similar to those used by  
350 Cox and Cox (2001) assessed participants' evaluation of their involvement with the  
351 message: *'I got involved in what the message had to say', 'The message seemed relevant*  
352 *to me', 'This message really made me think', 'This message was thought-provoking', 'The*  
353 *message was very interesting'; 'I felt strong emotions while reading this message'*. The  
354 response scale ranged from 1 ('totally disagree') to 10 ('totally agree').

355 **Perceived message quality.** A further two questions were used to create an index  
356 of 'perceived message quality' (Cronbach's  $\alpha = .93$ ). The first was: *'In your opinion,*  
357 *how persuasive was the message?'* and answers were given on a 10-point scale ranging  
358 from 'not persuasive at all' to 'very persuasive'. The other question was: *'How would you*  
359 *rate the message?'* and the scale ranged from 1 ('not credible at all') to 10 ('extremely  
360 credible').

361 **Tone of information.** A question similar to the one presented in Rothman,  
362 Martino, Bedell, Detweiler and Salovey (1999) was used to ensure the success of the  
363 framing manipulation. Participants were asked to rate the tone of the information  
364 contained in the message on a 9-point scale ranging from 'mostly negative' (-4) to  
365 'mostly positive' (+4).

### 366 **Analytic Strategy**

367 Four regression hierarchical linear regression models were estimated<sup>1</sup> in order to  
368 test our three hypotheses on message framing effects on intention after message  
369 exposure and fruit and vegetable intake over the subsequent week. In order to test our  
370 first hypothesis, that a gain frame would be more effective in the promotion of intention  
371 and fruit and vegetable intake (i.e., that there would be a main effect of frame), frame  
372 was introduced in the second step<sup>2</sup> in all four regression models. In order to test our  
373 second and third hypotheses, i.e., whether motivational orientation - or baseline  
374 intention - moderated the effects of message frame over intention and fruit and  
375 vegetable intake, the interaction terms between frame condition (gain vs. loss) and  
376 motivational orientation (promotion focus vs. prevention focus) or baseline intention  
377 (high baseline intention vs. low baseline intention) were entered at the fourth step<sup>3</sup>.

378 Whenever a significant interaction was found between the frame and a moderator,  
379 procedures by Aiken and West (1991) were followed, to test for differences across  
380 message frame conditions at low (i.e., mean minus one SD) and high (i.e., mean plus  
381 one SD) levels of the continuous moderator.

### 382 **Results**

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<sup>1</sup> Prior to inclusion in the regression models, the frame was dummy coded (with 0 corresponding to loss-frame and 1 to gain-frame) and all continuous variables were centred.

<sup>2</sup> In the first step, baseline fruit and vegetable intake (measured at Time 1), age and gender were included in the four tested models to control for their potential effects on the outcome variables.

<sup>3</sup> In the third step, Motivational orientation - or baseline intention - were included in the third step to control for any effects of these variables on both intention and fruit and vegetable intake.

**383 Manipulation checks**

384 A difference was found between gain and loss conditions in the rating of the tone  
385 of the information presented. Considering that '0' corresponded to the 'neutral' point,  
386 participants in the gain-framed message rated the message as presenting mostly positive  
387 information ( $M = 1.48$ ;  $SD = 2.18$ ), whereas participants in the loss-framed message  
388 rated the message as presenting information that was slightly negative ( $M = -0.08$ ;  $SD =$   
389  $2.72$ ),  $F(1,178) = 18.31$ ,  $p < .001$ , attesting the success of the framing manipulation.  
390 Importantly, ratings of involvement with the message and the perceived quality of the  
391 message did not differ across message frame conditions ( $p$ 's  $> .25$ ).

**392 Drop-out analyses, randomization check and descriptive statistics**

393 Analyses of variance (ANOVA's) showed no significant differences regarding the  
394 levels of fruit and vegetable intake at baseline, intention, motivational orientation and  
395 age between the longitudinal sample and those who dropped out (all  $p$ 's  $> .47$ ), and a  
396 chi-square test revealed no gender differences between the groups.

397 The descriptive statistics for both message conditions as well as the inter-  
398 correlations of the study variables, at the corresponding measurement time, are  
399 presented in Table 1. A randomization check showed no differences across framing  
400 conditions in age, gender, motivational orientation, intention for fruit and vegetable  
401 increase and actual fruit and vegetable intake at baseline (all  $p$ 's  $> .32$ ).

402

403 Table 1.

404 *Bivariate correlations between study variables and descriptive statistics by message*  
 405 *frame condition*

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	Gain- Frame M (SD)	Loss- Frame M (SD)
1. Age	1									22.12 (4.57)	22.63 (6.70)
2. Motiv. Orientation	.01	1								1.34 (1.50)	1.38 (1.48)
3. Intention (T1)	.02	.04	1							4.52 (1.67)	4.28 (1.50)
4. FV Intake (T1)	.03	.06	.31**	1						2.56 (2.25)	2.70 (2.09)
5. Intention (T2)	.01	.11	.67**	.34**	1					4.97 (1.37)	5.06 (1.33)
6. M. Involvement (T2)	.11	-.08	.25**	.04	.42**	1				6.81 (1.79)	6.48 (1.99)
7. M. Quality (T2)	.13	-.08	.25**	.04	.43**	.75**	1			6.51 (1.80)	6.21 (2.10)
8. Intention (T3)	.06	.09	.64**	.29**	.76**	.44**	.37**	1		4.63 (1.61)	4.58 (1.58)
9. FV Intake (T3)	.01	.06	.36**	.57**	.49**	.10	.16*	.47**	1	2.38 (1.79)	2.54 (2.06)

406 *Note.* \*  $p < .05$ ; \*\*  $p < .01$ .

#### 407 **Message frame effects on intention and fruit and vegetable intake**

408 Baseline fruit and vegetable intake was, as anticipated, a significant predictor of  
 409 both intention at Time 2 ( $\beta = .34, p < .001$ ) and fruit and vegetable intake at Time 3 ( $\beta$   
 410  $= .57, p < .001$ ). The message frame, as entered in the second step of the four hierarchical  
 411 multiple regressions (Table 2), did not increase the amount of variance explained  
 412 beyond what was already accounted for by the baseline FV intake (for intention,  $\Delta$   
 413  $R^2 < .001, F(1,177) = 0.08, p = .78$ , and for FV intake,  $\Delta R^2 = .001, F(1,175) = 0.16, p =$   
 414  $.69$ ).

415 The first hypothesis that a gain frame would be more effective than a loss frame  
 416 was, therefore, not confirmed, given that the message frame was neither a significant  
 417 predictor of intention to increase fruit and vegetable intake ( $\beta = -.02, p = .78$ ) nor of fruit  
 418 and vegetable intake one week later ( $\beta = -.03, p = .69$ ).

419

420 Table 2.

421 *Message frame and motivational orientation (or baseline intention) as predictors of*422 *intention (Time 2) and fruit and vegetable consumption (Time 3)*

423

Outcome variable	Step	Variables entered	$\beta$ (Step 1)	$\beta$ (Step 2)	$\beta$ (Step 3)	$\beta$ (Step 4)	Semi-partial $R^2$	
Intention (T2)	1	Baseline FV intake	.34 ***	.34 ***	.33 ***	.32 ***	.10	
	2	Message frame		-.02	-.02	-.02	.00	
	3	MO			.114	.07	.00	
	4	Frame x MO				.06	.00	
			$R^2$	.11	.11	.13	.13	
			$\Delta R^2$	.11	.00	.01	.00	
			$\Delta F$	22.72 ***	0.08	2.63	0.28	
	1	Baseline FV intake	.34 ***	.34 ***	.142 *	.15 **	.02	
	2	Message frame		-.02	-.07	-.07	.01	
	3	BI			.63 ***	.75 ***	.24	
4	Frame x BI				-.17 *	.01		
		$R^2$	.11	.11	.47	.48		
		$\Delta R^2$	.13	.00	.36	.01		
		$\Delta F$	22.72 ***	0.08	119.90 ***	3.95 *		
FV Intake (T3)	1	Baseline FV intake	.57 ***	.57 ***	.57 ***	.55 ***	.30	
	2	Message frame		-.03	-.02	-.02	.00	
	3	MO			.030	-.10	.00	
	4	Frame x MO				.18 †	.01	
			$R^2$	.33	.33	.33	.34	
			$\Delta R^2$	.33	.00	.00	.01	
			$\Delta F$	85.41 ***	0.16	0.23	3.69 *	
	1	Baseline FV intake	.57 ***	.57 ***	.51 ***	.54 ***	.25	
	2	Message frame		-.03	-.04	-.04	.00	
	3	BI			.20 **	.41 ***	.07	
4	Frame x BI				-.30 **	.04		
		$R^2$	.33	.33	.36	.40		
		$\Delta R^2$	.33	.00	.04	.04		
		$\Delta F$	85.41 ***	0.16	9.45 **	10.53 **		

424

425 *Note.* Message frame is a dummy variable (0 = loss-frame; 1= gain-frame); MO =426 motivational orientation; BI = baseline intention; Semi-partial  $R^2$  are presented for each

427 predictor in the final model (Step 4).

428 †  $p < .10$ ; \*  $p < .05$ ; \*\*  $p < .01$ ; \*\*\*  $p < .001$ .

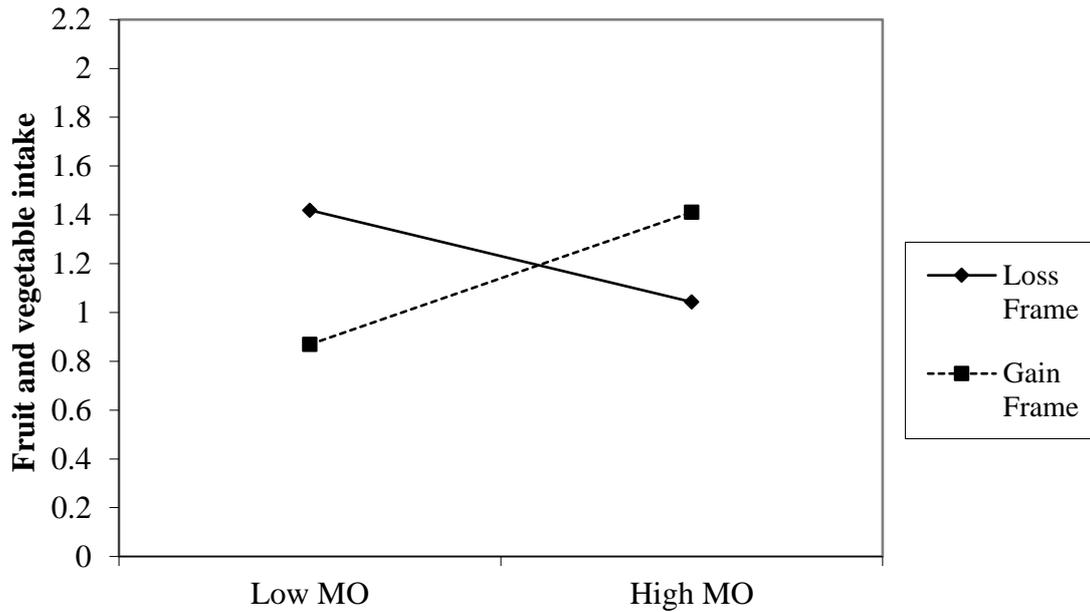
429

430 **Motivational orientation as a moderator of framing effects on intention and fruit**  
431 **and vegetable intake**

432 Motivational orientation, entered at the third step, failed to increase the amount of  
433 variance explained for both intention (T2),  $\Delta R^2 = .013$ ,  $F(1, 176) = 2.63$ ,  $p = .11$ , and  
434 for fruit and vegetable intake (T3),  $\Delta R^2 = .001$ ,  $F(1, 174) = 0.23$ ,  $p = .64$  (Table 2).  
435 Moreover, no interaction between motivational orientation and frame was found in the  
436 prediction of intention to increase fruit and vegetable intake (T2) at the fourth step,  $\beta =$   
437  $.06$ ,  $p = .60$ ,  $\Delta R^2 = .001$ ,  $F(1, 175) = 0.28$ ,  $p = .60$ . However, and as expected,  
438 motivational orientation and frame interacted in the prediction of fruit and vegetable  
439 intake. When the interaction term was included at the fourth step, the overall amount of  
440 explained variance increased,  $\Delta R^2 = .014$ ,  $F(1, 173) = 3.69$ ,  $p = .056$ , with the final  
441 model explaining a total of 34.2% of the variance (see Table 2). This interaction  
442 between the message frame and motivational orientation ( $\beta = .175$ ,  $p = .056$ ) in the  
443 prediction of FV intake (T3) is depicted in Figure 1.

444 At lower levels of motivational orientation, the message frame was found to be a  
445 significant predictor of FV intake ( $\beta = -0.23$ ,  $p = .03$ ), meaning that for increasingly  
446 prevention-focused individuals, FV intake increased in response to a loss-framed  
447 message. However, at higher levels of motivational orientation, the message frame was  
448 not a significant predictor of FV intake ( $\beta = .16$ ,  $p = .13$ ), which means that for  
449 increasingly promotion-focused individuals, loss- and gain-framed messages were  
450 equally effective in the promotion of FV intake.

451



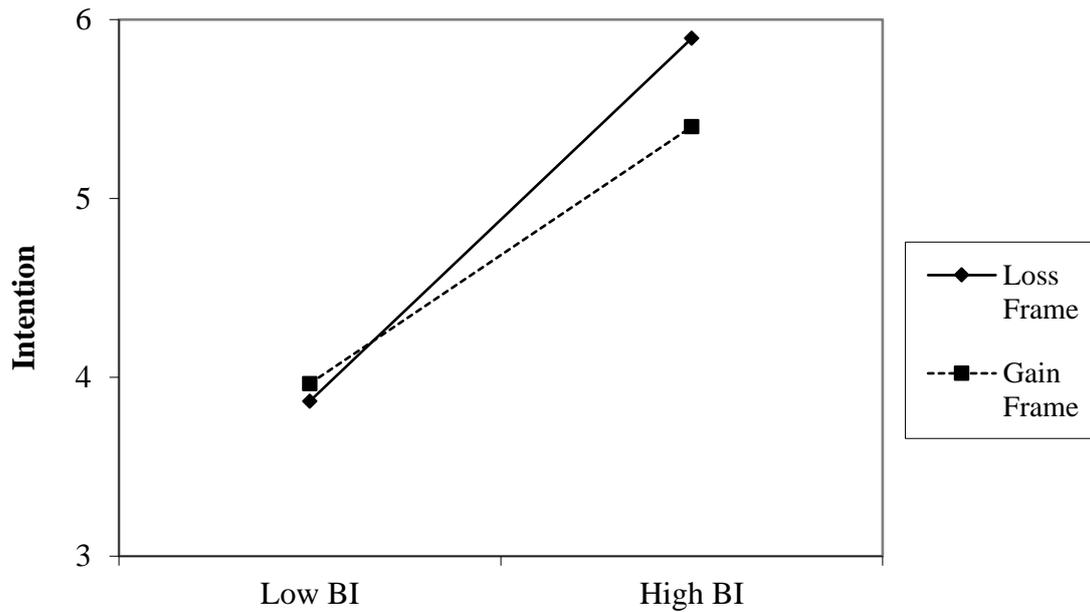
452

453 *Figure 1.* Regression of fruit and vegetable intake on motivational orientation (MO) for  
 454 participants in the loss- and gain-framed message conditions, controlling for baseline  
 455 fruit and vegetable intake.

456 **Baseline intention as a moderator of framing effects on intention and fruit and**  
 457 **vegetable intake**

458 Baseline intention introduced in the third step was a significant predictor of both  
 459 intentions after message exposure,  $\beta = .63, p < .001$ , and of FV intake one week later,  $\beta$   
 460  $= .20, p = .002$ , explaining 35.9% of the variance of intention (T2) and 3.5% of the  
 461 variance of FV intake (Table 2).

462 The interaction term between baseline intention and frame entered at the fourth  
 463 step also proved to be significant for both the prediction of intention after message  
 464 exposure (T2),  $\beta = -.17, p = .049$ , and FV intake one week later (T3),  $\beta = -.30, p = .001$ ,  
 465 and both models were significant [ $\Delta R^2 = .012, F(1, 175) = 3.95, p = .049$ , for intention,  
 466 and  $\Delta R^2 = .037, F(1, 173) = 10.53, p = .001$ , for FV intake] (see Table 2). The  
 467 interaction between baseline intention and frame over intention (T2) is presented in  
 468 Figure 2 and the interaction over FV intake (T3) is presented in Figure 3.



469

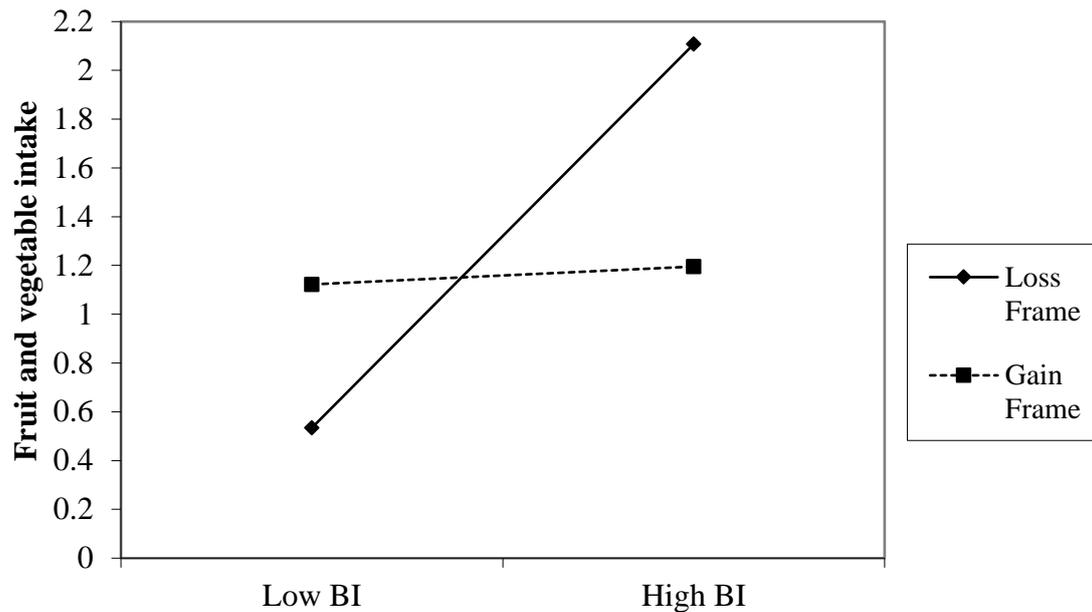
470 *Figure 2.* Regression of intention to increase fruit and vegetable intake after message  
 471 exposure on baseline intention (BI) for participants in the loss- and gain-framed  
 472 message conditions, controlling for baseline fruit and vegetable intake.

473

474 A further inspection of the effects of frame at low and high levels of baseline  
 475 intention on intention after message exposure (T2) revealed that, at lower levels of  
 476 baseline intention, the frame was not a significant predictor of intention after message  
 477 exposure (T2),  $\beta = .01$ ,  $p = .91$ . However, at higher levels of baseline intention, the frame  
 478 was a significant predictor ( $\beta = -.17$ ,  $p = .03$ ), such that as baseline intentions increased,  
 479 a loss-frame was conducive to higher intentions after message exposure (T2).

480 Exactly the same pattern was found for the effects of frame on FV intake, with  
 481 results showing that at lower levels of baseline intention, the frame was not a significant  
 482 predictor of FV intake (T3),  $\beta = -.06$ ,  $p = .57$ , but with loss-frame being conducive to  
 483 higher FV intake (T3) as baseline intentions increased,  $\beta = -.192$ ,  $p = .058$ .

484



485

486 *Figure 3.*Regression of fruit and vegetable intake on baseline intention (BI) for  
 487 participants in the loss- and gain-framed message conditions, controlling for baseline  
 488 fruit and vegetable intake.

489

490 **Three-way interaction among frame, motivational orientation and baseline**  
 491 **intention predicting fruit and vegetable intake**

492 Given that message frame interacted both with the individual's motivational  
 493 orientation and baseline intention for the prediction of FV intake at time 3, the full  
 494 model, with all the previous predictors plus the three-way interaction among frame,  
 495 motivational orientation and baseline intention was calculated. The new interaction term  
 496 was not significant,  $\beta = -.001$ ,  $p = .99$ , and failed to increase the explanatory value of  
 497 model,  $\Delta R^2 = .000$ ,  $\Delta F(1, 169) = .075$ ,  $p = .79$ , revealing baseline intentions and  
 498 motivational orientation work as independent moderators of framing effects over fruit  
 499 and vegetable intake.

500

**Discussion**

501           The function of the health behaviour and motivational orientation are among the  
502 most studied moderators of health messages' framing effects, but their interplay has  
503 seldom been examined (Rothman & Updegraff, 2011). In the present study,  
504 motivational orientation was found to moderate the effects of frame over FV intake,  
505 with the loss-framed message leading to higher FV intake among prevention-focused  
506 individuals. However, contrarily to what would be expected since fruit and vegetable  
507 intake is an illness prevention behaviour, the gain frame was not, per se, conducive to  
508 either increased intention or fruit and vegetable intake. These results corroborate those  
509 of previous studies where an advantage of a gain-framed message for FV intake  
510 promotion was not found (e.g., Bannon & Schwartz, 2006; Van Assema, Martens,  
511 Ruiters, & Brug, 2001) and that of a study where an interaction between frame and  
512 motivational orientation was found for FV intake (Latimer et al., 2008b). As other  
513 authors have suggested (Rothman, Wlaschin, Bartels, Latimer, & Salovey, 2008), it  
514 might be the case that FV intake does not induce a very strong set of beliefs or that there  
515 is considerable variability regarding the way the behaviour is construed (i.e., either as  
516 health-promoting or illness-preventing), rendering the framing effects more dependent  
517 on the personal characteristics of the individuals.

518           Contrary to the results of Latimer and colleagues (2008b) and those of Churchill  
519 and Pavey (2012), where the framing effect was particularly salient for promotion  
520 focused individuals (or high in autonomy) when exposed to the gain-framed message, in  
521 the present study the reverse occurred, with the most clear framing effects being for  
522 prevention focused individuals when exposed to loss-framed messages. Such difference  
523 might be due to cultural reasons. In fact, a body of research has demonstrated that  
524 cultural factors play an important role in the effectiveness of health communications  
525 (see Kreuter & McClure, 2004). More recently, studies specifically analysing the impact  
526 of the individuals' cultural background on the effect of health messages' framing have

527 shown that individuals from cultures where a promotion focus is more pervasive (i.e.,  
528 individualistic cultures) were more persuaded by gain-framed messages, whereas  
529 individuals belonging to a more preventive focus type of culture (i.e., collectivist  
530 cultures) were more persuaded by loss-framed messages (Sherman, Uskul, &  
531 Updegraff, 2011; Uskul, Sherman, & Fitzgibbon, 2009). In the same vein, other  
532 research has shown that greater cultural exposure to the US culture (which emphasises  
533 individualism) predicted a greater effectiveness of gain-framed messages, whereas  
534 lower cultural exposure led to advantages of loss-framed messages (Brick et al., 2015).  
535 Given that the Portuguese culture has proven to be close to Eastern cultures in terms of  
536 collectivism (Gouveia & Ros, 2000), this might explain the obtained results, in the  
537 sense that there was a maximal effect of frame when it matched the individuals'  
538 dispositions as well as the prevalent cultural background.

539       The fact that framing effects were found for behaviour one week later, but not for  
540 intentions immediately after message exposure is also worth noting, although it is not  
541 completely new. Framing effects for the adoption of prevention behaviours such as  
542 smoking cessation, skin cancer prevention and physical activity have been found on  
543 behaviour, but not necessarily on attitudes or intentions (Gallagher & Updegraff, 2012).  
544 This pattern of findings leads to the question of identifying the psychological processes  
545 that might mediate the observed effects, which clearly remains an important avenue for  
546 future research.

547       The role of a new moderator - baseline intentions - in the message frame was also  
548 demonstrated, with the loss-frame conducting to higher intention and behaviour among  
549 participants who already had the intention to change, as predicted. This finding is  
550 relevant for tailoring health messages according to the stage of change (Godinho,  
551 Alvarez, & Lima, 2013; Godinho, Alvarez, Lima, & Schwarzer, 2015; Lhakang,  
552 Godinho, Knoll, & Schwarzer, 2014; Weinstein, Lyon, Sandman, & Cuite, 1998),

553 suggesting that loss-frames are preferable when targeting volitional individuals.  
554 Nevertheless, it is advisable to replicate these findings for other behaviours and in other  
555 cultures, in order to attest their generalisability. For example, it would be important to  
556 test whether, in a more promotion-oriented type of culture, gain-frames might be more  
557 effective for individuals who do not yet intend to change their behaviour. Future  
558 research should also examine whether framing tailored messages according to the stage  
559 of change results in increased effectiveness.

560         Some aspects of the present study might limit the generalisation of the above  
561 conclusions. The sample of the study was composed by well-educated and mostly  
562 female participants. Considering that women and highly educated people tend to already  
563 eat more fruit and vegetables (De Irala-Estevez et al., 2000; Giskes, Turrell, Patterson,  
564 & Newman, 2002; OECD, 2013) future studies should seek to replicate the presented  
565 findings using more heterogeneous samples. All measures were collected by self-report,  
566 including the assessment of FV intake, which might introduce some bias due to  
567 difficulties in recollecting and/or evaluating the required information. Thus, it is  
568 advisable that future studies complement the assessment of fruit and vegetable intake  
569 with a food frequency questionnaire. In spite of these limitations, disentangling gains  
570 and losses from the presence vs. absence of outcomes in the framing manipulation, the  
571 inclusion of a manipulation check, the control of baseline behaviour, and testing the  
572 different predictions both for intentions and behaviour are strengths of this study that  
573 must be acknowledged.

574         In the present study some significant effects were found, despite being modest in  
575 size. This should not be surprising if we recall that our aim was to change a very  
576 complex behaviour that is influenced by a myriad of different factors, through a  
577 minimal and very brief intervention consisting of a single exposure to differently  
578 framed health messages. Moreover, the comparisons established are not between an

579 intervention and a non-intervention control group; the effect of a congruent and  
580 incongruent message is being contrasted, which although theoretically less effective for  
581 a specific audience segment, provides, nonetheless, persuasive information for changing  
582 fruit and vegetable intake. Thus, despite their relatively modest size, these effects may  
583 still be considered important effect sizes. From an epidemiological point of view, small  
584 effects in a risk factor among a large group of people tend to lead to considerable and  
585 meaningful changes at a population level (Rose, 1992).

586 To conclude, characteristics of the message recipient, rather than aspects of the  
587 health behaviour, were found to be relevant for the choice of frame in messages aiming  
588 to promote the increase of fruit and vegetable intake. In particular, when targeting  
589 prevention-focused individuals, a loss-frame is recommended. The pronounced framing  
590 effect for prevention focused individuals is attributed to the relevance of these  
591 individuals' disposition in a collectivist culture, and highlights the importance of  
592 considering the individual's cultural background when evaluating the effects of message  
593 framing in health behaviour change. Moreover, the finding that for individuals already  
594 holding an intention to change, a loss-framed message is more effective, proved to be  
595 the most innovative result, and has practical implications for the targeting of health  
596 messages according to the individual's readiness for change.

597

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770 Appendix A.

771 *Outcomes related to eating (or not) the recommended amount of FV referred in each*  
 772 *message frame type.*

773

Gain-Framed Message	Loss-Framed Message
If you <b><i>eat</i></b> at least 5 portions of FV a day...	If you <b><i>do not eat</i></b> at least 5 portions of FV a day...
One in five gastrointestinal cancers <b>may be prevented</b> by <b>adequate</b> F&V consumption.	One in five gastrointestinal cancers <b>are caused</b> by <b>low</b> F&V consumption.
<b>You will be protecting yourself</b> against this disease.	<b>You will be unprotected</b> against this disease.
<b>You will be provided with</b> vitamins and mineral salts which perform the fundamental role of protecting the body.	<b>This will result in a lack of</b> vitamins and mineral salts which perform the fundamental role of protecting the body.
...it will <b>help</b> the functions of the immune system, which <b>works to</b> keeping you healthy	... it will <b>jeopardize</b> the functions of the immune system, which <b>will fail in</b> keeping you healthy
[it may keep you] <b>safe from</b> diseases such as cancer.	<b>It may trigger</b> diseases such as cancer.
<b>Increase</b> in energy, <b>increase</b> in positive emotional states and sense of satisfaction and pleasure.	<b>Reduction</b> in energy, <b>reduction</b> of positive emotional states and sense of satisfaction and pleasure.
You will feel <b>proud of</b> yourself,	You will feel <b>disappointed with</b> yourself,
For having been <b>capable</b> of doing it.	For having been <b>incapable</b> of doing it.
It may contribute to <b>preventing</b> a number of diseases,	It may contribute to <b>triggering</b> a number of diseases,
<b>Feeling good</b> about yourself	<b>Feeling bad</b> about yourself
And having <b>better</b> health.	And having <b>poorer</b> health.

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